







#### The Graduate School of Life Sciences

Structured Doctoral Training at the University of Würzburg

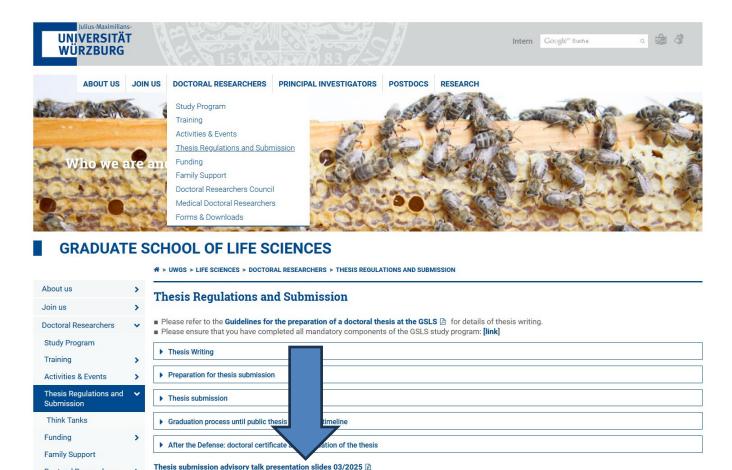
#### Thesis submission advisory talk

https://www.graduateschools.uniwuerzburg.de/life-sciences/doctoralresearchers/thesis-regulations-andsubmission/

#### **Contact:**

gsls-submission@uni-wuerzburg.de

gsls-med@uni-wuerzburg.de





# The whole process from GSLS registration to thesis submission



#### Thesis submission and doctoral procedure Eva Dallmann

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# Heike Schrenk Phone +49 931 31-84079 heike.schrenk@uni-wuerzburg.de

## **Medical doctoral researchers procedure Luise Lesch**

Phone: +49 931 31-89325 luise.lesch@uni-wuerzburg.de

#### gsls-submission@uni-wuerzburg.de





#### gsls-med@uni-wuerzburg.de





#### First steps



> Please check your admission in Open Campus.

➤ Without a valid admission submission of your thesis is not possible.

> Admission vs enrollment (Dr. rer. nat./PhD vs Dr. med./Dr.

med. dent.)

Doktor der Naturwissenschaften (Dr. rer. nat.) Biomedicine

Lehrstuhl für Biotechnologie und Biophysik

Start Date of Thesis Project : 01.03.2022

Admission Date: 02.06.2022

Admission for Research Project is valid until: 28.02.2025

Ennrollment is valid until end of WS 2023/24



#### **Overview thesis submission checklist**



	<b>DOCTORAL THESIS SUBMISSION V11.24</b>				
Name:	: Date:				
Chairp	person:				
	to be determined by GSLS office				
Preferr commi	red/expected place of defence (please discuss and select with your tbd ittee):				
1. <u>To b</u>	pe checked by PhD student:				
	Matriculation number: Start date of project: GSLS admission date: GSLS admission valid until: Desired title:  Please note that changes must be approved Section: Verify your email personal address in Open Campus Reports: Start-Up and 3 Annual reports uploaded in Open Campus Proof of enrollment for 6 semesters at the University of Würzburg Copy of ID card OR marriage certificate - if you are married, please provide a copy "Immatrikulationsbescheinigung" for semester of thesis submission OR civil service working contract (copy) OR certificate of good conduct (type "O") Please provide ONE of the documents above mentioned - you only need a certificate of good conduct, if you are not enrolled at the university nor have a contract at the university/civil service Study book and certificates of participation - uploaded in Open Campus (GSLS study program requirements) an approved by GSLS. Please upload at least 2 weeks before submission and inform us by email.				
$\triangle$	Thesis format: Monography Compilation/Chapter-based Thesis Publication: First author publication Co-author publication Guarantee - if you don't have a published first author publication				
	Thesis title (German):				
	Thesis title (English):				
	Members of the committee: 1st 2nd 4th 5th				

	Reviewers confirmed by thesis committee:		
	1 <sup>st</sup> 2 <sup>nd</sup>		
	At least one reviewer must NOT be a co-author of your publications included in your dissertation. This requirement only refers to your own publications, not the works cited in your dissertation.		
	Regardless of the thesis format, I ensure that all illustrations and texts are not sourced from publications (including my own), unless explicitly stated in the respec-tive figure legend or within the text. The same principle applies to modified figures and texts as well. If approval from the publisher is required, I have obtained it.		
2. <u>To</u>	be submitted to the GSLS office at thesis submission (please make an appointment!)		
	Copy of ID card <b>OR</b> marriage certificate - <i>if you got married, please provide a copy</i> "Immatrikulationsbescheinigung" for semester of thesis submission <b>OR</b> civil service working contract (copy) <b>OR</b> certificate of good conduct (type "O") (see above) Application for Conferral of a Doctorate - form (GSLS Website, Thesis Submission) Written confirmation by the Thesis Committee - form; Original signatures required (GSLS Website, Thesis Submission)		
	Declaration Library - form (GSLS Website, Thesis Submission) Electronic version (PDF) of the doctoral thesis on CD or USB – <u>including</u> CV Thesis - 5 copies + additional copies for committee members who want a printed copy:		
	<ul> <li>DIN A4, bound (soft or hard binding acceptable, double-sided printing accepted)</li> <li>Numbered pages</li> </ul>		
	Title page (GSLS Website, Thesis Submission):		
	Thesis title in both German and in English language  Section (Biomedicine, Integrative Biology, Neuroscience, Infection and Immunity, Clinical Sciences)  Names of all Thesis Committee members  Blank field for the name of the chairperson		
	List of contents (Inhaltsverzeichnis)		
	Summary in both German and in English language List of References/Bibliography (Literaturverzeichnis)		
	Publication list		
	CV – Original signature (after printing) required		
	Affidavit (eidesstattliche Erklärung) - Original signature (after printing) required Form Statement of individual author contributions and of legal second publication rights		
	Form Statement of individual author contributions to figures/tables		
3. <u>W</u>	ill be handed out by GSLS office at thesis submission:		
	Confirmation (Laufzettel) GSLS office		

All forms to be completed can be found on the GSLS homepage (<a href="http://www.graduateschools.uni-wuerzburg.de/life\_sciences/">http://www.graduateschools.uni-wuerzburg.de/life\_sciences/</a>) in the menu options under Doctoral Researchers -> Thesis Submission)



#### Overview thesis submission checklist



	DOCTORAL THESIS SUBMISSION V11.24		
Name	: Date:		
Chairp	person:		
oap	to be determined by GSLS office		
	red/expected place of defence (please discuss and select with your tbd		
commi	ittee):		
1. To b	be checked by PhD student:		
	Matriculation number:		
	Start date of project:		
	GSLS admission date:		
	GSLS admission valid until:		
	Desired title: Please note that changes must be approved		
	Section:		
	Verify your email personal address in Open Campus		
	Reports: Start-Up and 3 Annual reports uploaded in Open Campus		
	Proof of enrollment for 6 semesters at the University of Würzburg		
	Copy of ID card <b>OR</b> marriage certificate - if you are married, please provide a copy		
	"Immatrikulationsbescheinigung" for semester of thesis submission OR civil service		
	working contract (copy) OR certificate of good conduct (type "O")		
	Please provide <b>ONE</b> of the documents above mentioned - you only need a certificate of good		
	conduct, if you are not enrolled at the university nor have a contract at the university/civil service		
	Study book and certificates of participation - uploaded in Open Campus (GSLS study		
	program requirements) an approved by GSLS. Please upload at least 2 weeks before		
	submission and inform us by email.		
$\triangleright$	Thesis format: Monography Compilation/Chapter-based Thesis		
$\triangleright$	Publication: First author publication Co-author publication		
	Guarantee - if you don't have a published first author publication		
	Thesis title (German):		
	Thesis title (English):		
	Members of the committee: 1st 2nd		
	-		
	3 <sup>rd</sup> 4 <sup>th</sup>		

	Reviewers confirmed by thesis committee:			
	1 <sup>st</sup>	2 <sup>nd</sup>		
	At least one reviewer must NOT be a co-author of your dissertation. This requirement only refers to your own cited in your dissertation.	· ·		
	Regardless of the thesis format, I ensure that a not sourced from publications (including my own), respec-tive figure legend or within the text. The same figures and texts as well. If approval from the publisher	unless explicitly stated in the principle applies to modified		
2. <u>To l</u>	be submitted to the GSLS office at thesis submission (p	olease make an appointment!)		
	Copy of ID card <b>OR</b> marriage certificate - <i>if you got mar</i> "Immatrikulationsbescheinigung" for semester of the working contract (copy) <b>OR</b> certificate of good condu for Conferral of a Doctorate - form (GSLS Website, Thesis SU Written confirmation by the Thesis Committee - form Website, Thesis Submission)	esis submission <b>OR</b> civil service ct (type "O") <i>(see above)</i> Application Ibmission)		
	Declaration Library - form (GSLS Website, Thesis Submission) Electronic version (PDF) of the doctoral thesis on CD or USB – <u>including</u> CV Thesis - 5 copies + additional copies for committee members who want a printed copy:			
	<ul><li>DIN A4, bound (soft or hard binding acceptal:</li><li>Numbered pages</li></ul>	ne, double-sided printing accepted)		
	Title page (GSLS Website, Thesis Submission)  Thesis title in both German and in Eng Section (Biomedicine, Integrative Biology, Neuros Names of all Thesis Committee memb Blank field for the name of the chairpe List of contents (Inhaltsverzeichnis)  Summary in both German and in English lang List of References/Bibliography (Literaturver: Publication list  CV – Original signature (after printing) requir Affidavit (eidesstattliche Erklärung) - Original Form Statement of individual author contriburights  Form Statement of individual author contribu	dish language discience, Infection and Immunity, Clinical Sciences) ders derson duage derichnis) ded designature (after printing) required utions and of legal second publication		
3. <u>Wi</u>	ill be handed out by GSLS office at thesis submission:			
Confirmation (Laufzettel) GSLS office				

All forms to be completed can be found on the GSLS homepage (<a href="http://www.graduateschools.uni-wuerzburg.de/life\_sciences/">http://www.graduateschools.uni-wuerzburg.de/life\_sciences/</a>) in the menu options under Doctoral Researchers -> Thesis Submission)



#### Selection of the chairperson



#### **Background**

- The chairperson is a member of the GSLS Common Graduation Commission or appointed by the Common Graduation Commission
- The chairperson accompanies your doctoral procedure as an <u>independent person</u> and makes sure that the procedure follows the <u>regulations of the GSLS</u>
- The chairperson is thus NOT a reviewer or an examiner during your thesis defence
- The chairperson receives and signs the official documents for your defense, including your preliminary doctoral certificate
  - → Please make sure to always include the chairperson when planning your defense date

#### **Selection of a chairperson**

- > Dr. rer. nat/PhD
- List of possible chairpersons:

https://www.graduateschools.uni-wuerzburg.de/life-sciences/about-us/contact/common-graduation-commission/

- We will select the chairperson (place, section and your topic)
- Procedure:
  - → The GSLS will officially send a request to the chairperson(s) and will notify you by email
- > <u>MD</u>
- Procedure:
  - → The GSLS will officially send a request to Prof. Dr. Üceyler and will notify you by email



# Section 1. To be checked/discussed Dr. rer. nat./PhD





1st Author Paper: Must be accepted in a peer-reviewed journal at thesis submission.

If not: Contact GSLS early for a "Bürgschaft" (Guarantee).

If not feasible: At least 1 accepted co-authorship required – inform GSLS.



Thesis Format: Indicate if writing a Monography or Compilation – check guidelines.



#### **OpenCampus**:

Upload annual reports, certificates, study book info.



Verify your personal email address



Correct Section: Thesis section must match that of your 1st supervisor.



**Enrollment**: Minimum 6 semesters required – we'll check.



Admission Validity: Must be valid until thesis submission (not defense).

→ Apply for **extension via OpenCampus**, if needed.



#### Section 1. To be checked/discussed MD/Dr. med./ Dr. med. dent.





Co-Author Paper: Must be accepted in a peer-reviewed journal at thesis submission.

- If not: Contact GSLS early for a "Bürgschaft" (Guarantee).
- If 1st authorship not feasible: At least 1 accepted co-authorship required inform GSLS.



Thesis Format: Indicate Monography or Compilation – check guidelines.



#### OpenCampus:

Upload annual reports, certificates, study book details.



✓ Verify your personal email address



Lab Time: Please send supervisor confirmation on time spent in lab/Freisemester



Correct Section: Thesis section must match your 1st supervisor's GSLS section.



Medical Students: Must be enrolled in "Promotionsstudiengang" after M3 – send Immatrikulationsbescheinigung.

Module	Regulations for Dr. med./MD/Dr. med. dent.	Regulations for Dr. rer. nat./PhD
Progress Meetings	Start-up and 1 or 2 bi-annual meeting(s)	Start-up meeting & at least 3 annual progress meetings
Lab Meeting	1 hour per week, organized by the working group	1 hour per week, organized by the working group
Literature Seminar	1 hour per week, organized by the working group	1 hour per week, organized by the working group
Program or Section Seminar (Jour Fixe)	-	1 - 2 times per month
Retreat or Summer School	At least one	Annualy (in total ≥ 3)
International Meetings/Symposia	At least one with active scientific contribution	In total ≥ 3 with active scientific contribution
Scientific Publication	Co-Author publication	First-Author publication
Elective Activity	One Elective Activity in total (Scientific course or Transferable skill course or Research Stay)	One Elective Activity per semester (Scientific course (in total ≥ 3) and Transferable skill course (in total ≥ 3))
Good scientific practice course	mandatory	mandatory
Intercultural communication	mandatory for PhD	<del>-</del>
Interdisciplinary seminar	in total ≥ 9 participations including introductory and final talk	<u>-</u>



# OpenCampus electronic study book



https://gsls.cloud.opencampus.net/

	Documents	Actions
Reports and Extension Requests		
Basic information		
Reports basic information		
Meeting Reports		
☐ Meeting Report		
Extension Requests		<b>a</b>
Study Break Request		<b>2</b>
Study Book		
▼ E Mandatory Elements		
▶ 🗁 Lab Meeting / Group Seminar		<b>2</b>
▶ 🗁 Literature Seminar / Journal Club		<b>2</b>
Research Training Group Seminar (Jour Fixe)		<b>a</b>
▶ International Meetings / Symposia		<b>2</b>
▶ 📉 Scientific Publications		<b>2</b>
Retreat / Summer School		<b>2</b>
▶ Good Scientific Practice		<b>a</b>
▼ 📔 Electives		
▶ Email: Scientific course / Seminar / Lectures		<b>a</b>
▶ 🛅 Transferable Skills Course / Seminar / Workshop		<b>2</b>
Research Stay		<b>a</b>
▼ 🗁 Additional		
Additional Activities		<b>&amp;</b>

Study book and certificates of participation - uploaded in Open Campus and approved by GSLS (GSLS study program requirements).

Please upload at least 2 weeks before submission and inform us by email.

The diploma supplement is <u>created based on your entries</u>. Please ensure they are entered carefully and in a consistent format.



#### Overview thesis submission checklist



<b>DOCTORAL THESIS SUBMISSION V11.24</b>				
Name:	Date:			
Chairp	erson:  to be determined by GSLS office			
Preferr commi	red/expected place of defence (please discuss and select with your tbd ttee):			
1. <u>To b</u>	e checked by PhD student:			
	Matriculation number: Start date of project:			
	GSLS admission date: GSLS admission valid until:			
	Desired title: Please note that changes must be approved			
	Section:			
	Verify your email personal address in Open Campus			
	Reports: Start-Up and 3 Annual reports uploaded in Open Campus			
	Proof of enrollment for 6 semesters at the University of Würzburg			
	Copy of ID card <b>OR</b> marriage certificate - if you are married, please provide a copy			
	"Immatrikulationsbescheinigung" for semester of thesis submission <b>OR</b> civil service			
	working contract (copy) OR certificate of good conduct (type "O")			
	Please provide <b>ONE</b> of the documents above mentioned - you only need a certificate of good			
	conduct, if you are not enrolled at the university nor have a contract at the university/civil service			
	Study book and certificates of participation - uploaded in Open Campus (GSLS study			
	program requirements) an approved by GSLS. Please upload at least 2 weeks before			
	submission and inform us by email.			
>	Thesis format: Monography Compilation/Chapter-based Thesis			
>	Publication: First author publication Co-author publication			
	Guarantee - if you don't have a published first author publication			
	Thesis title (German):			
	Thesis title (English):			
	Members of the committee: 1 <sup>st</sup> 2 <sup>nd</sup>			
_	3 <sup>rd</sup> 4 <sup>th</sup>			
	5 <sup>th</sup>			

	Reviewers confirmed by thesis committee:		
	1 <sup>st</sup>	2 <sup>nd</sup>	
	At least one reviewer must NOT be a co-author of your dissertation. This requirement only refers to your own cited in your dissertation.	· · · · · · · · · · · · · · · · · · ·	
	Regardless of the thesis format, I ensure that a not sourced from publications (including my own), respective figure legend or within the text. The same figures and texts as well. If approval from the publisher	unless explicitly stated in the principle applies to modified is required, I have obtained it.	
2. 10	be submitted to the GSLS office at thesis submission (p	olease make an appointment!)	
	Copy of ID card <b>OR</b> marriage certificate - <i>if you got mar</i> "Immatrikulationsbescheinigung" for semester of the working contract (copy) <b>OR</b> certificate of good condu for Conferral of a Doctorate - form (GSLS Website, Thesis SU Written confirmation by the Thesis Committee - form Website, Thesis Submission)	sis submission <b>OR</b> civil service ct (type "O") (see above) Application bmission)	
	Declaration Library - form (GSLS Website, Thesis Submission)  Electronic version (PDF) of the doctoral thesis on CD or USB – including CV  Thesis - 5 copies + additional copies for committee members who want a printed copy:  DIN A4, bound (soft or hard binding acceptable, double-sided printing accepted)		
	Numbered pages		
	Title page (GSLS Website, Thesis Submission):  Thesis title in both German and in Eng Section (Biomedicine, Integrative Biology, Neuros Names of all Thesis Committee memb	lish language cience, Infection and Immunity, Clinical Sciences)	
	Blank field for the name of the chairpe		
	List of contents (Inhaltsverzeichnis)  Summary in both German and in English lang List of References/Bibliography (Literaturverze) Publication list CV – Original signature (after printing) requir	zeichnis)	
	Affidavit (eidesstattliche Erklärung) - Original Form Statement of individual author contribu	signature (after printing) required utions and of legal second publication	
	Form Statement of individual author contribu	itions to figures/tables	
3. <u>Wi</u>	<u>(ill be handed out by GSLS office at thesis submission:</u> Confirmation (Laufzettel) GSLS office		

All forms to be completed can be found on the GSLS homepage (<a href="http://www.graduateschools.uni-wuerzburg.de/life\_sciences/">http://www.graduateschools.uni-wuerzburg.de/life\_sciences/</a>) in the menu options under Doctoral Researchers -> Thesis Submission)



#### Thesis formats in the GSLS



#### **Both types considered equivalent**

One accepted co-author publication in a peer-reviewed international journal is mandatory for graduation

> Bürgschaft (guarantee) by primary supervisor is possible

One accepted first author publication or resp. Bürgschaft required for the grades "Magna cum laude" or "Summa cum

laude" (PhD and Dr. rer. nat.)

	Monograph Thesis	<b>Chapter-Based Thesis</b>
Format	One continuous document	Collection of related articles or manuscripts
Content	Unpublished, original work	Based on published or submitted papers
Flow	Tells one cohesive tory	Papers may be more independent, but linked
Authorship	You are the author	Chapters may have co-authors (your role must be clear)
Intro & Discussion	me general introduction and final discussion	General intro + summary chapter tying papers together



Important: Read and Follow the Guidelines for Preparation and Submission of a Doctoral Thesis (Ph.D./Dr. rer. nat.) at the GSLS



reprint

**\**4

Introduction

Provide a **comprehensive description** of:

The aims of your research

The **key research questions** 

How these are addressed in the **included articles** and manuscripts

Include a review of the relevant background literature

**End with a clear and concise description** of the overall aims of the thesis

#### **Summarising Discussion**

Go beyond repeating the individual article results!

Provide a **holistic reflection** on:

The **overall aims** and how the work fits together

**Failed approaches** or **modifications** during the work

**Links** between the projects and manuscripts

Demonstrate **critical thinking** and place your results in a broader scientific context

#### Discuss:

Limitations

Theoretical and practical implications How the research advances the field Ideas for **future work** 



#### **Key Points: Compilation Thesis (Manuscript-Based)**



Must include at least two research articles or manuscripts, framed by an introduction and a final discussion.

Articles must be published, accepted, or ready for peer-reviewed journals and follow standard structure (abstract, intro, methods, results, discussion)

**Technical papers** or **systematic reviews** (not narrative reviews) may also be included.

The candidate must be (co)first author on at least two
articles and clearly state
individual contributions using
GSLS templates.

chapters are key to demonstrating independent research competence

A publication related to your thesis is required, and your contribution to the publication must be significant.

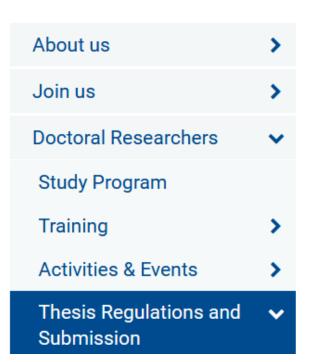


#### Monograph/Compilation thesis



#### **GRADUATE SCHOOL OF LIFE SCIENCES**

♠ > UWGS > LIFE SCIENCES > DOCTORAL RESEARCHERS > THESIS REGULATIONS AND SUBMISSION





Guidelines for preparation and submission of a doctoral thesis (Ph.D./Dr. rer. nat.) at the GSLS (V3.0)

The aim of the doctoral thesis is to compile the candidate's work as a doctoral researcher, and to contextualise and discuss the work in relation to the broader research field. As decided by the GSLS Common Graduation Commission ("Gemeinsame Promotionskommission") on 10<sup>th</sup> February 2022, the thesis may be prepared in the form of a monograph ("Monographie") or a manuscript/chapter-based compilation thesis ("Manuskript-/Kapitel-basierte Thesis"). Both thesis types are considered equivalent; there is no *a priori* difference in quality constituted by the choice whether a thesis is written as a monograph or as a compilation thesis. In either case, it is essential that the thesis forms a cohesive body of work.



#### Overview thesis submission checklist



	<b>DOCTORAL THESIS SUBMISSION V11.24</b>				
Name:	Date:				
Chairp	erson:				
	to be determined by GSLS office				
Preferr commi	red/expected place of defence (please discuss and select with your tbd ttee):				
1. <u>To b</u>	e checked by PhD student:				
	Matriculation number: Start date of project: GSLS admission date: GSLS admission valid until: Desired title:  Please note that changes must be approved Section: Verify your email personal address in Open Campus Reports: Start-Up and 3 Annual reports uploaded in Open Campus Proof of enrollment for 6 semesters at the University of Würzburg Copy of ID card OR marriage certificate - if you are married, please provide a copy "Immatrikulationsbescheinigung" for semester of thesis submission OR civil service working contract (copy) OR certificate of good conduct (type "O") Please provide ONE of the documents above mentioned - you only need a certificate of good conduct, if you are not enrolled at the university nor have a contract at the university/civil service Study book and certificates of participation - uploaded in Open Campus (GSLS study program requirements) an approved by GSLS. Please upload at least 2 weeks before				
$\triangleright$	submission and inform us by email.  Thesis format: Monography Compilation/Chapter-based Thesis				
$\triangleright$	Publication: First author publication Co-author publication				
	Guarantee - if you don't have a published first author publication				
	Thesis title (German):				
	Thesis title (English):				
	Members of the committee: 1st 2nd 4th 5th				

	Reviewers confirmed by thesis committee:			
	1 <sup>st</sup> 2 <sup>nd</sup>			
	At least one reviewer must NOT be a co-author of your publications included in your dissertation. This requirement only refers to your own publications, not the works cited in your dissertation.			
	Regardless of the thesis format, I ensure that all illustrations and texts are not sourced from publications (including my own), unless explicitly stated in the respective figure legend or within the text. The same principle applies to modified figures and texts as well. If approval from the publisher is required, I have obtained it.			
2. <u>To l</u>	be submitted to the GSLS office at thesis submission (please make an appointment!)			
	Copy of ID card <b>OR</b> marriage certificate - <i>If you got married, please provide a copy</i> "Immatrikulationsbescheinigung" for semester of thesis submission <b>OR</b> civil service working contract (copy) <b>OR</b> certificate of good conduct (type "O") (see above) Application for Conferral of a Doctorate - form (scss website, Thesis Submission) Written confirmation by the Thesis Committee - form; Original signatures required (GSLS Website, Thesis Submission)			
	Declaration Library - form (GSLS Website, Thesis Submission) Electronic version (PDF) of the doctoral thesis on CD or USB – <u>including</u> CV Thesis - 5 copies + additional copies for committee members who want a printed copy:			
	DIN A4, bound (soft or hard binding acceptable, double-sided printing accepted)  Numbered pages			
	Title page (GSLS Website, Thesis Submission):			
	Thesis title in both German and in English language			
	Section (Biomedicine, Integrative Biology, Neuroscience, Infection and Immunity, Clinical Sciences Names of all Thesis Committee members Blank field for the name of the chairperson			
	List of contents (Inhaltsverzeichnis)			
	Summary in both German and in English language			
	List of References/Bibliography (Literaturverzeichnis)			
	Publication list			
	CV – Original signature (after printing) required Affidavit (eidesstattliche Erklärung) – Original signature (after printing) required			
	Form Statement of individual author contributions and of legal second publication			
	rights			
	Form Statement of individual author contributions to figures/tables			
3. <u>W</u> i	Il be handed out by GSLS office at thesis submission:			
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#### Submitting a thesis without first authorship: PhD/ Dr. rer. nat



#### **Submitting Thesis Without First Authorship – Your Options**

- Option 1: Supervisor Guarantee
  - Supervisor confirms 1st-author paper is in progress
  - Full grading possible (incl. Summa, Magna)
  - **Learn State of the State of**
- Option 2: Immediate Submission Without 1st Authorship
  - Start doctoral procedure right away
  - X Not eligible for Summa or Magna cum laude
  - At least one accepted co-authorship required
- \*

Important: Discuss both options early with your primary supervisor!



#### Submitting a thesis without first authorship: MD



#### Submitting Thesis Without Co-Authorship – Your Option



#### **Option 1: Supervisor Guarantee**

- Supervisor guarantees a co-author publication
- Allows full grading (incl. Summa, Magna)
- Light of the second of the seco
- Option 2: Immediate Submission Without Co-Authorship is NOT possible
  - Submission via the Medical Faculty is not an option

Clear communication with your supervisor is key!



#### **Guarantee - Documents for Commission Meeting**



#### **Important:**

Your **first supervisor** decides whether to provide a **guarantee**.



At least 10 days before the Graduation Commission meeting!

#### Required Documents:

- 1. Manuscript including supplementary information
- Or a detailed outline showing your contributions (if not finished)
- Please include:
  - ❖ Form Statement of individual author contributions and of legal second publication rights
  - ❖Form Statement of individual author contributions to figures/tables

#### 2. Latest Thesis Version

- Should be advanced and close to submission
- 3. Supervisor Letter confirming:
  - Current **status** of your dissertation
  - That your (co-)authorship remains valid through revisions
  - No delay in the doctoral process due to the guarantee
  - Whether the guarantee is for a **first** or **co-author** publication

https://www.graduate schools.uniwuerzburg.de/lifesciences/aboutus/contact/commongraduationcommission/



#### **Publication Requirement**





#### A Relevant Publication Is Mandatory

- Publication must be directly related to your doctoral research.
- It must be peer-reviewed and scientifically recognized.

#### **Your Contribution Must Be Significant**

- You must have made a **substantial intellectual contribution** to the publication.
- Examples include:
  - Study design
  - Data collection and analysis
  - Writing and revising the manuscript
- Minor involvement (e.g., technical assistance or data collection only) is not sufficient.

#### Why This Matters

- Ensures that doctoral work meets scientific standards.
- Confirms your active participation and ownership of the research.
- Aligns with good scientific practice and doctoral regulations.



#### Overview thesis submission checklist



DOCTORAL THESIS SUBMISSION V11.24						
Name:	Date:					
Chairperson:						
to be determined by GSLS of	fice					
Preferred/expected place of defence (please disc committee):	uss and select with your tbd					
1. To be checked by PhD student:						
Matriculation number:						
Start date of project:						
GSLS admission date:						
GSLS admission valid until:						
	that changes must be approved					
Section:						
Verify your email personal address in Op  Reports: Start-Up and 3 Annual reports u	•					
	Reports: Start-Up and 3 Annual reports uploaded in Open Campus					
Copy of ID card <b>OR</b> marriage certificate -	n you are married, piease provide a copy nester of thesis submission <b>OR</b> civil service					
working contract (copy) <b>OR</b> certificate of						
	mentioned - you only need a certificate of good					
	sity nor have a contract at the university/civil service					
Study book and certificates of participation	on - uploaded in Open Campus (GSLS study					
program requirements) an approved by 0	GSLS. Please upload at least 2 weeks before					
submission and inform us by email.						
Thesis format: Monography	Compilation/Chapter-based Thesis					
Publication: First author publicat						
	n't have a published first author publication					
Thesis title (German):	· · · · · · ·					
Thesis title (English):						
Members of the committee: 1st	2 <sup>nd</sup>					
3 <sup>rd</sup>	4 <sup>th</sup>					
5 <sup>th</sup>						

	Reviewers confirmed by thesis committee:
	1 <sup>st</sup> 2 <sup>nd</sup>
	At least one reviewer must NOT be a co-author of your publications included in your dissertation. This requirement only refers to your own publications, not the works cited in your dissertation.
	Regardless of the thesis format, I ensure that all illustrations and texts are not sourced from publications (including my own), unless explicitly stated in the respec-tive figure legend or within the text. The same principle applies to modified figures and texts as well. If approval from the publisher is required, I have obtained it.
2. <u>To l</u>	be submitted to the GSLS office at thesis submission (please make an appointment!)
	Copy of ID card <b>OR</b> marriage certificate - <i>if you got married, please provide a copy</i> "Immatrikulationsbescheinigung" for semester of thesis submission <b>OR</b> civil service working contract (copy) <b>OR</b> certificate of good conduct (type "O") (see above) Application for Conferral of a Doctorate - form (GSLS Website, Thesis Submission) Written confirmation by the Thesis Committee - form; Original signatures required (GSLS Website, Thesis Submission)
	Declaration Library - form (GSLS Website, Thesis Submission)  Electronic version (PDF) of the doctoral thesis on CD or USB – including CV  Thesis - 5 copies + additional copies for committee members who want a printed copy:  DIN A4, bound (soft or hard binding acceptable, double-sided printing accepted)  Numbered pages
	Title page (GSLS Website, Thesis Submission):  Thesis title in both German and in English language Section (Biomedicine, Integrative Biology, Neuroscience, Infection and Immunity, Clinical Sciences) Names of all Thesis Committee members Blank field for the name of the chairperson
	List of contents (Inhaltsverzeichnis)  Summary in both German and in English language List of References/Bibliography (Literaturverzeichnis)  Publication list  CV – Original signature (after printing) required  Affidavit (eidesstattliche Erklärung) – Original signature (after printing) required  Form Statement of individual author contributions and of legal second publication rights
	Form Statement of individual author contributions to figures/tables
3. <u>Wi</u>	ill be handed out by GSLS office at thesis submission:  Confirmation (Laufzettel) GSLS office

All forms to be completed can be found on the GSLS homepage (<a href="http://www.graduateschools.uni-wuerzburg.de/life\_sciences/">http://www.graduateschools.uni-wuerzburg.de/life\_sciences/</a>) in the menu options under Doctoral Researchers -> Thesis Submission)



#### Overview thesis submission checklist LINK



#### **DOCTORAL THESIS SUBMISSION V11.24**

lame:	: Date:
hairp	erson:
	to be determined by GSLS office
	red/expected place of defence (please discuss and select with your tbdititee):
To b	be checked by PhD student:
	Matriculation number:
	Start date of project:
	GSLS admission date:
	GSLS admission valid until:
	Desired title: Please note that changes must be approved
	Section:
	Verify your email personal address in Open Campus
	Reports: Start-Up and 3 Annual reports uploaded in Open Campus
	Proof of enrollment for 6 semesters at the University of Würzburg
	Copy of ID card OR marriage certificate - if you are married, please provide a copy
	"Immatrikulationsbescheinigung" for semester of thesis submission <b>OR</b> civil service
	working contract (copy) <b>OR</b> certificate of good conduct (type "O")
	Please provide <b>ONE</b> of the documents above mentioned - you only need a certificate of good
	conduct, if you are not enrolled at the university nor have a contract at the university/civil service
	Study book and certificates of participation - uploaded in Open Campus (GSLS study
	program requirements) an approved by GSLS. Please upload at least 2 weeks before
	submission and inform us by email.
>	Thesis format: Monography Compilation/Chapter-based Thesis
>	Publication: First author publication Co-author publication
	Guarantee - if you don't have a published first author publication
	Thesis title (German):
_	
	Thesis title (English):
_	
	Members of the committee: 1 <sup>st</sup> 2 <sup>nd</sup>
	Members of the committee: $1^{st}$ $2^{nd}$ $4^{th}$

	Reviewers confirmed by thesis committee:
	1 <sup>st</sup> 2 <sup>nd</sup>
	At least one reviewer must NOT be a co-author of your publications included in your dissertation. This requirement only refers to your own publications, not the works cited in your dissertation.
2. To	Regardless of the thesis format, I ensure that all illustrations and texts are not sourced from publications (including my own), unless explicitly stated in the respec-tive figure legend or within the text. The same principle applies to modified figures and texts as well. If approval from the publisher is required, I have obtained it.  be submitted to the GSLS office at thesis submission (please make an appointment!)
<u></u>	
	Copy of ID card <b>OR</b> marriage certificate - <i>if you got married, please provide a copy</i> "Immatrikulationsbescheinigung" for semester of thesis submission <b>OR</b> civil service working contract (copy) <b>OR</b> certificate of good conduct (type "O") (see above) Application for Conferral of a Doctorate - form (GSLS Website, Thesis Submission) Written confirmation by the Thesis Committee - form; Original signatures required (GSLS Website, Thesis Submission)
	Declaration Library - form (GSLS Website, Thesis Submission)  Electronic version (PDF) of the doctoral thesis on CD or USB – including CV  Thesis - 5 copies + additional copies for committee members who want a printed copy:  DIN A4, bound (soft or hard binding acceptable, double-sided printing accepted)  Numbered pages
	Title page (GSLS Website, Thesis Submission):
	Thesis title in both German and in English language
	Section (Biomedicine, Integrative Biology, Neuroscience, Infection and Immunity, Clinical Sciences)  Names of all Thesis Committee members  Blank field for the name of the chairperson
	List of contents (Inhaltsverzeichnis) Summary in both German and in English language List of References/Bibliography (Literaturverzeichnis) Publication list
	CV – Original signature (after printing) required Affidavit (eidesstattliche Erklärung) - Original signature (after printing) required Form Statement of individual author contributions and of legal second publication rights
	Form Statement of individual author contributions to figures/tables
3. <u>W</u>	ill be handed out by GSLS office at thesis submission:
	Confirmation (Laufzettel) GSLS office

All forms to be completed can be found on the GSLS homepage (<a href="https://www.graduateschools.uni-wuerzburg.de/life\_sciences/">https://www.graduateschools.uni-wuerzburg.de/life\_sciences/</a>) in the menu options under Doctoral Researchers -> Thesis Submission)



#### **Reviewer Selection**



#### Background

- Upon thesis submission, the GSLS sends your thesis to all thesis committee members
- Two reviewers write evaluation reports and recommend a grade
  - These are usually members of your thesis committee

#### Reviewer Selection

- 1 First Reviewer:
  - Your first supervisor
- **2** Second Reviewer:
  - A second person from your thesis committee
- Must be a Prof. or PD (Junior PIs must be GSLS members)
- Must be affiliated with a different institute/clinic than your first supervisor
- Can be an external person
- If external & not yet on your committee: they automatically become a member

#### Important Requirements

- At least one reviewer must not be a co-author of your publication
- One reviewer must be from or appointed by a **natural science faculty**
- The second reviewer is not always the second supervisor
- The GSLS office needs the name of the second reviewer to request the chairperson



#### **Overview thesis submission checklist**



DOCTORAL THESIS SUBMISSION V11.24	Reviewers confirmed by thesis committee:
	1 <sup>st</sup> 2 <sup>nd</sup>
Name: Date: Chairperson:  to be determined by GSLS office	At least one reviewer must NOT be a co-author of your publications included in your dissertation. This requirement only refers to your own publications, not the works cited in your dissertation.
Preferred/expected place of defence (please discuss and select with your committee):	Regardless of the thesis format, I ensure that all illustrations and texts are not sourced from publications (including my own), unless explicitly stated in the respec-tive figure legend or within the text. The same principle applies to modified figures and texts as well. If approval from the publisher is required, I have obtained it.
1. To be checked by PhD student:	7 To be submitted to the GSIS office at thesis submission (please make an annointment)
not sourced from publications (including n respec-tive figure legend or within the text figures and texts as well. If approval from the	t. The same principle applies to modified
conauct, if you are not enrolled at the university nor nave a contract at the university/civil service  Study book and certificates of participation - uploaded in Open Campus (GSLS study program requirements) an approved by GSLS. Please upload at least 2 weeks before submission and inform us by email.  Thesis format: Monography Compilation/Chapter-based Thesis Publication: First author publication Co-author publication Guarantee - if you don't have a published first author publication  Thesis title (German):  Thesis title (English):  Members of the committee: 1st 2nd 4th 5th	Thesis title in both German and in English language Section (Biomedicine, Integrative Biology, Neuroscience, Infection and Immunity, Clinical Sciences) Names of all Thesis Committee members Blank field for the name of the chairperson List of contents (Inhaltsverzeichnis) Summary in both German and in English language List of References/Bibliography (Literaturverzeichnis) Publication list CV – Original signature (after printing) required Affidavit (eidesstattliche Erklärung) - Original signature (after printing) required Form Statement of individual author contributions and of legal second publication rights Form Statement of individual author contributions to figures/tables  3. Will be handed out by GSLS office at thesis submission:
	Confirmation (Laufzettel) GSLS office  All forms to be completed can be found on the GSLS homepage (http://www.graduateschools.uni-

All forms to be completed can be found on the GSLS homepage (http://www.graduateschools.uni-wuerzburg.de/life\_sciences/) in the menu options under Doctoral Researchers -> Thesis Submission)



#### Important: Proper Attribution of Contributions in Your Thesis



#### **Clear Documentation of Your Contributions:**

**Mandatory:** Include detailed statements outlining your contributions to text, figures, and tables for all manuscripts included in your dissertation.

If the work is unpublished, include the manuscript status (e.g., in revision, accepted, or unpublished).

#### **Citing Published or Manuscript Content:**

Critical: When using text, figures, or figure panels from your (co-)authored manuscripts, always cite the corresponding publication or manuscript (e.g., in figure/table legends).

**Don't Miss This Step!** 

#### **Shared First Authorships:**

**Non-negotiable:** For papers with shared first authorship, provide a statement signed by all co first authors detailing each author's contributions to figures and tables.



Form Statement of individual author contributions and of legal second publication rights



Form Statement of individual author contributions to figures/tables

Manuscript·1·(complete refer	ence):·¶					¤
¤						
Participated-in¤	<b>Author</b> ·Initia	<b>ls,·</b> Responsibil	ity·decreasing·l	from·left·to·righ	t-¤	¤
Study·Design¶ Methods·Development¤	¶	¶	¶	¶	¶	¤
Data·Collection·¤	¤	¤	¤	¤	¤	¤
Data·Analysis·and· Interpretation¤	¤	¤	¤	¤	¤	¤
Manuscript·Writing¶ Writing·of·Introduction¶ Writing·of·Materials·&· Methods¶ Writing·of·Discussion¶ Writing·of·First·Draft¤	¶ ¶ ¶ ¶	¶ ¶ ¶ ¶	T T T T T	¶ ¶ ¶ ¶	¶ ¶ ¶ ¶	¤

Explanations (if applicable):

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Manuscript·2·(complete reference):·¶						
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Participated-in¤	Author	Initials, Respo	onsibility decre	asing·from·left·t	o·right·¤	
Study·Design¶ Methods·Development¤	¶	¶	¶	¶	¶	
Data·Collection·¤	¤	¤	¤	¤	¤	
Data·Analysis·and· Interpretation¤	¤	¤	¤	¤	¤	
Manuscript·Writing¶ Writing·of·Introduction¶ Writing·of·Materials·&· Methods¶ Writing·of·Discussion¶ Writing·of·First·Draft¤	¶ ¶ ¶ ¶	¶ ¶ ¶ ¶	¶ ¶ ¶ ¶	¶ ¶ ¶ ¶ ¶	¶ ¶ ¶ ¶	

Explanations (if applicable):

The original signature of the doctoral candidate is sufficient.

Manuscript-1	Manuscript·1·(complete·reference):⋅∞						¤
Figure-#-in- thesis¤	Figure-#-in- publication¤	Autho	Author-Initials,-Responsibility-decreasing-from-left-to-right¤				
n	x	α	n	¤	¤	α	¤
n	¤	α	n	α	α	α	¤
n	α	α	α	α	α	α	¤
121	x	α	α	α	α	α	x
Table-#-in- thesis¤	Table-#-in- publication¤	Autho	r·Initials,⋅Resp	onsibility-decre	asing from left	to-right <b>¤</b>	¤
n	α	α	α	α	α	α	¤
α	n	n	α	α	α	α	¤

Explanations (if applicable):¶

1

Manuscript-2 (complete reference): ·¤							¤
Figure-#-in- thesis¤	Figure-#-in- publication¤	Autho	Author-Initials,-Responsibility-decreasing-from-left-to-right¤				
¤	n	n	¤	¤	¤	¤	¤
¤	¤	n	¤	¤	¤	¤	¤
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¤	¤	n	n	¤	¤	n	¤
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¤	¤	n	n	n	n	n	n
α	α	n	n	α	α	α	¤

Explanations (if applicable): ¶

¶

I-also-confirm-my-primary-supervisor's-acceptance.¶



# Statement of individual author contributions and of legal second publication rights to manuscripts included in the dissertation



Including this form in your thesis is <u>mandatory</u> for the compilation/ chapter-based format and monography style thesis

- Please use one table per manuscript.
- If not yet published, please indicate the status of the manuscript at thesis submission (e.g. in revision/ accepted in [name of journal]/ in preparation/ unpublished
- Your original signature on this form is required for the printed copies submitted at the GSLS. You may include an electronic signature of your supervisor.
- Please include signature of shared first coauthor
- Remember that the final dissertation (PDF) uploaded to OPUS after your defense must NOT contain any electronic signatures.



#### **Plagiarism Check Process**



#### Access to the plagiarism detection software iThenticate:

**Note:** Access to iThenticate can only be set up for the supervisor(s).

#### •For employees of JMU:

•Request via the WebShop of the University of Würzburg (LINK).

#### •For employees of UKW:

- •Free activation through SMI.
- •Fill out the form "Order for Licensed Software" (LINK, available only in the UKW intranet) with the signatures of the applying supervisor and the director of the clinic/institute.
- •Submit the application via email to Thomas Schüll: schuell\_T@ukw.de.
- Access is granted independent of location and device.

#### **Login Details:**

After receiving the login credentials, access is available via:

https://app.ithenticate.com/de/login



#### **Good Scientific practice**



# Your Dissertation will be published at Submission

#### Fulfills academic requirements:

Publishing your dissertation criteria.

#### Counts as an acade

- Adds credit, O Company of the C
- · Can co. Je cord.
- > Clinical Control of the Control of
- > Clesting the Clesting Complete and figures are derived from prior publications.
- Include to the original works.
- Mention Commons and publication venues.
- Ensure and ment with university and journal guidelines on self-plagiarism and copyright.



#### Overview thesis submission checklist



	DOCTORAL THESIS SUBMISSION	V11.24
Name:	Date	e e
Chairperso	on:  to be determined by GSLS office	
	to be determined by GSES Office	
Preferred/e committee	/expected place of defence (please discuss and select with your e):	tbd <u>·</u>
1. To be ch	hecked by PhD student:	
Ma Ma	latriculation number:	
Sta	art date of project:	
	SLS admission date:	
GS	SLS admission valid until:	
De	esired title: Please note that changes must be app	roved
	ection:	
☐ Vei	erify your email personal address in Open Campus	
	eports: Start-Up and 3 Annual reports uploaded in Open Campu	IS
Pro	oof of enrollment for 6 semesters at the University of Würzbur	g
Cop	opy of ID card <b>OR</b> marriage certificate - if you are married, please	provide a copy
"In	mmatrikulationsbescheinigung" for semester of thesis submissi	on <b>OR</b> civil service
WO	orking contract (copy) <b>OR</b> certificate of good conduct (type "O"	")
	ease provide <b>ONE</b> of the documents above mentioned - you only need	, , , ,
	anduct, if you are not enrolled at the university nor have a contract at	
	udy book and certificates of participation - uploaded in Open C	
	ogram requirements) an approved by GSLS. Please upload at le	ast 2 weeks before
sub	ıbmission and inform us by email.	
	hesis format: Monography Compilation,	/Chapter-based Thesis
Pu	ublication: First author publication 🔲 Co-author pu	ublication
	Guarantee - if you don't have a published first a	uthor publication
Th.	hesis title (German):	
Th	hesis title (English):	
_		
Mo	Members of the committee: 1st	2 <sup>nd</sup>
	3 <sup>rd</sup>	4 <sup>th</sup>
	5th	

	Reviewers confirmed by thesis committee:
	1 <sup>st</sup> 2 <sup>nd</sup>
	At least one reviewer must NOT be a co-author of your publications included in your dissertation. This requirement only refers to your own publications, not the works cited in your dissertation.
	Regardless of the thesis format, I ensure that all illustrations and texts are not sourced from publications (including my own), unless explicitly stated in the respec-tive figure legend or within the text. The same principle applies to modified figures and texts as well. If approval from the publisher is required, I have obtained it.
2. <u>To l</u>	be submitted to the GSLS office at thesis submission (please make an appointment!)
	Copy of ID card <b>OR</b> marriage certificate - <i>if you got married, please provide a copy</i> "Immatrikulationsbescheinigung" for semester of thesis submission <b>OR</b> civil service working contract (copy) <b>OR</b> certificate of good conduct (type "O") (see above) Application for Conferral of a Doctorate - form (GSLS Website, Thesis Submission) Written confirmation by the Thesis Committee - form; Original signatures required (GSLS Website, Thesis Submission)
	Declaration Library - form (GSLS Website, Thesis Submission)
	Electronic version (PDF) of the doctoral thesis on CD or USB – <u>including</u> CV  Thesis - 5 copies + additional copies for committee members who want a printed copy:
	<ul> <li>DIN A4, bound (soft or hard binding acceptable, double-sided printing accepted)</li> <li>Numbered pages</li> </ul>
	Title page (GSLS Website, Thesis Submission):
	Thesis title in both German and in English language  Section (Biomedicine, Integrative Biology, Neuroscience, Infection and Immunity, Clinical Sciences)  Names of all Thesis Committee members  Blank field for the name of the chairperson
	List of contents (Inhaltsverzeichnis) Summary in both German and in English language List of References/Bibliography (Literaturverzeichnis) Publication list
	CV – Original signature (after printing) required Affidavit (eidesstattliche Erklärung) - Original signature (after printing) required Form Statement of individual author contributions and of legal second publication rights
	Form Statement of individual author contributions to figures/tables
3. <u>Wi</u>	ill be handed out by GSLS office at thesis submission: Confirmation (Laufzettel) GSLS office

All forms to be completed can be found on the GSLS homepage (<a href="http://www.graduateschools.uni-wuerzburg.de/life\_sciences/">http://www.graduateschools.uni-wuerzburg.de/life\_sciences/</a>) in the menu options under Doctoral Researchers -> Thesis Submission)



#### Section 2. To be submitted to the GSLS Office at thesis submission







We need <u>all documents</u> listed in section 2 of checklist to be able to initiate your doctoral procedure!

You have to make an appointment with the GSLS submission office for your thesis submission as soon as you know the planned submission date (at least 4-6 weeks before planned submission)!



## Study book and certificates:

Please <u>upload</u> all study book requirements to your OpenCampus profile at least two weeks <u>before the thesis</u> <u>submission meeting and</u> inform us via email.

If there are still original certificates in your physical file, we will send you the PDF scans and you will obtain the originals at the time of thesis submission



## Copy of ID card/marriage certificate:

We ask for an official document since we normally display your name on your final doctoral certficate as it is displayed in your passport (including middle names, special characters etc.)

We will destroy the copy when closing your GSLS file



### Serves as proof that there is no conflict with the law

Immatrikulationsbescheinigung

or

Copy of civil service working contract

or

**Certificate of good conduct** 



#### Section 2. To be submitted to the GSLS Office at thesis submission





Forms to be downloaded via the GSLS thesis submission website:



#### **Application for Conferral of a Doctorate**

#### Your original signature required

Provides evaluation details to thesis committee

Ensure correct contact info for all members (especially external ones)



#### **Declaration Library**

#### Your original signature required

Confirms no content changes after thesis submission (except requested revisions)

Typos can be corrected, but no content alterations allowed



Written confirmation by the thesis committee





#### **Key Points:**

• Original Signatures Required
All supervisors must provide original signatures.

# How to Collect Signatures

- Pre-fill the document and send it to supervisors via email.
- Collect signatures on separate pages.
- Submit all individual pages to the GSLS.
- Do not copy signatures into a single document.

# **Submission Guidelines**

- Use the *Confirmation by Thesis Committee* form to determine the required number of dissertation copies.
- If supervisors 3, 4, or 5 require a physical copy, submit at least six copies.



#### Overview thesis submission checklist LINK



	DOCTORAL THESIS	SUBMISSION V11.24	
Name:		Date:	
		24101	
Chairperson:	to be determined by GSLS office		
Preferred/expected plac committee):	ce of defence (please discuss an	nd select with your <b>tbd</b>	•
1. To be checked by Phi	D student:		
Matriculation n	umber:		
Start date of pro			
GSLS admission	•		
GSLS admission	valid until:		
Desired title:	Please note that c	changes must be approved	
Section:			
Verify your ema	il personal address in Open Car	mpus	
Verify your ema Reports: Start-U Proof of enrolln	Jp and 3 Annual reports upload	ed in Open Campus	
Proof of enrolln	nent for 6 semesters at the Univ	versity of Würzburg	
	OR marriage certificate - if you	are married, please provide a copy	
"Immatrikulatio	nsbescheinigung" for semester	of thesis submission <b>OR</b> civil service	e
working contrac	ct (copy) <b>OR</b> certificate of good	conduct (type "O")	
•	-	oned - you only need a certificate of goo or have a contract at the university/civil	
		ploaded in Open Campus (GSLS stud	•
		Please upload at least 2 weeks befor	e
submission and	inform us by email.		
Thesis format:	Monography	Compilation/Chapter-based T	hesis
Publication:	First author publication	Co-author publication	
	Guarantee - if you don't hav	ve a published first author publication	
Thesis title (Ge	rman):		
Thesis title (Eng	glish):		
Members of the	e committee: 1st	2 <sup>nd</sup>	
	3 <sup>rd</sup>	4 <sup>th</sup>	
	<b>c</b> th		

	Reviewers confirmed by thesis committee:
	1 <sup>st</sup> 2 <sup>nd</sup>
	At least one reviewer must NOT be a co-author of your publications included in your dissertation. This requirement only refers to your own publications, not the works cited in your dissertation.
	Regardless of the thesis format, I ensure that all illustrations and texts are not sourced from publications (including my own), unless explicitly stated in the respec-tive figure legend or within the text. The same principle applies to modified figures and texts as well. If approval from the publisher is required, I have obtained it.
2. ]	o be submitted to the GSLS office at thesis submission (please make an appointment!)
	Copy of ID card <b>OR</b> marriage certificate - <i>if you got married, please provide a copy</i> "Immatrikulationsbescheinigung" for semester of thesis submission <b>OR</b> civil service working contract (copy) <b>OR</b> certificate of good conduct (type "O") (see above) Application for Conferral of a Doctorate - form (GSLS Website, Thesis Submission)  Written confirmation by the Thesis Committee - form; Original signatures required (GSLS Website, Thesis Submission)
	Declaration Library - form (GSLS Website, Thesis Submission) Electronic version (PDF) of the doctoral thesis on CD or USB – <u>including</u> CV Thesis - 5 copies + additional copies for committee members who want a printed copy.
	DIN A4, bound (soft or hard binding acceptable, double-sided printing accepted) Numbered pages  Title page (GSLS Website, Thesis Submission):  Thesis title in both German and in English language Section (Biomedicine, Integrative Biology, Neuroscience, Infection and Immunity, Clinical Sciences) Names of all Thesis Committee members Blank field for the name of the chairperson List of contents (Inhaltsverzeichnis) Summary in both German and in English language List of References/Bibliography (Literaturverzeichnis) Publication list CV – Original signature (after printing) required Affidavit (eidesstattliche Erklärung) - Original signature (after printing) required Form Statement of individual author contributions and of legal second publication
	rights  Form Statement of individual author contributions to figures/tables
3.	Will be handed out by GSLS office at thesis submission:  Confirmation (Laufzettel) GSLS office

All forms to be completed can be found on the GSLS homepage (http://www.graduateschools.uniwuerzburg.de/life\_sciences/) in the menu options under Doctoral Researchers -> Thesis Submission)



# Section 2. To be submitted to the GSLS Office at thesis submission



#### **Submission of your thesis**

- PDF version of your thesis
  - There are normally <u>no signatures</u> included in the PDF (Exception for chapter-based thesis: it is possible to include the signature of your first supervisor in the respective author contribution form)
  - The PDF should contain your CV as additional information for your reviewers during the evaluation procedure
- 5 printed copies of your thesis (exactly the same as the PDF) (1 for each reviewer and the chairperson, 1 for the GSLS archive, 1 spare copy + X for non-reviewer committee members if necessary / additional copies for committee members who wants a printed copy)
  - We normally send printed copies to all thesis committee members and the chairperson, plus we need one copy for our physical file
  - You will receive all unused thesis copies after your defense and may use them for submission to the University Library



#### Overview thesis submission checklist



#### **DOCTORAL THESIS SUBMISSION V11.24** Name: Date: Chairperson: to be determined by GSLS office Preferred/expected place of defence (please discuss and select with your tbd committee): 1. To be checked by PhD student: Matriculation number: Start date of project: GSLS admission date: GSLS admission valid until: Desired title: Please note that changes must be approved Verify your email personal address in Open Campus Reports: Start-Up and 3 Annual reports uploaded in Open Campus Proof of enrollment for 6 semesters at the University of Würzburg Copy of ID card OR marriage certificate - if you are married, please provide a copy "Immatrikulationsbescheinigung" for semester of thesis submission **OR** civil service working contract (copy) OR certificate of good conduct (type "O") Please provide ONE of the documents above mentioned - you only need a certificate of good conduct, if you are not enrolled at the university nor have a contract at the university/civil service Study book and certificates of participation - uploaded in Open Campus (GSLS study program requirements) an approved by GSLS. Please upload at least 2 weeks before submission and inform us by email. Thesis format: Monography Compilation/Chapter-based Thesis Publication: First author publication Co-author publication Guarantee - if you don't have a published first author publication Thesis title (German): Thesis title (English): Members of the committee: 3<sup>rd</sup> 5<sup>th</sup>

	Reviewers confirmed by thesis committee:			
	1 <sup>st</sup> 2 <sup>nd</sup>			
	At least one reviewer must NOT be a co-author of your publications included in your dissertation. This requirement only refers to your own publications, not the works cited in your dissertation.			
	Regardless of the thesis format, I ensure that all illustrations and texts are not sourced from publications (including my own), unless explicitly stated in the respec-tive figure legend or within the text. The same principle applies to modified figures and texts as well. If approval from the publisher is required, I have obtained it.			
2. To be submitted to the GSLS office at thesis submission (please make an appointment!)				
	Copy of ID card <b>OR</b> marriage certificate - <i>if you got married, please provide a copy</i> "Immatrikulationsbescheinigung" for semester of thesis submission <b>OR</b> civil service working contract (copy) <b>OR</b> certificate of good conduct (type "O") (see above) Application for Conferral of a Doctorate - form (GSLS Website, Thesis Submission) Written confirmation by the Thesis Committee - form; Original signatures required (GSLS Website, Thesis Submission)			
	Declaration Library - form (GSLS Website, Thesis Submission) Electronic version (PDF) of the doctoral thesis on CD or USB – <u>including</u> CV Thesis - 5 copies + additional copies for committee members who want a printed copy:			
	DIN A4, bound (soft or hard binding acceptable, double-sided printing accepted)  Numbered pages			
	Title page (GSLS Website, Thesis Submission):			
	Thesis title in both German and in English language Section (Biomedicine, Integrative Biology, Neuroscience, Infection and Immunity, Clinical Sciences) Names of all Thesis Committee members Blank field for the name of the chairperson			
	List of contents (Inhaltsverzeichnis) Summary in both German and in English language List of References/Bibliography (Literaturverzeichnis) Publication list			
	CV – Original signature (after printing) required Affidavit (eidesstattliche Erklärung) - Original signature (after printing) required Form Statement of individual author contributions and of legal second publication rights			
2 14/	Form Statement of individual author contributions to figures/tables			
5. <u>WI</u>	Will be handed out by GSLS office at thesis submission:     Confirmation (Laufzettel) GSLS office			
All form	All forms to be completed can be found on the GSLS homenage (http://www.graduateschools.uni-			

All forms to be completed can be found on the GSLS homepage (<a href="http://www.graduateschools.uni-wuerzburg.de/life\_sciences/">http://www.graduateschools.uni-wuerzburg.de/life\_sciences/</a>) in the menu options under Doctoral Researchers -> Thesis Submission)



#### Section 3. Thesis Submission & Publication Process





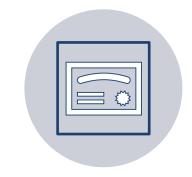
Upon submitting your thesis (both PDF and printed copies), you will receive a **Laufzettel** (Process Sheet). This document confirms your submission with signatures from both yourself and the GSLS administrator.



Once you submit the Laufzettel, along with all required documents from Section 4, to the University Library, they will confirm your thesis publication by signing the Laufzettel. The signed document will then be returned directly to the GSLS Office.



Keep the Laufzettel safe – you'll need it again after your defense for the thesis publication via the University Library (see Section 4).



Final certificates ("Urkunde") will only be issued once we receive the signed Laufzettel from the University Library after your defense.



#### **Timeline**



After submitting your thesis, the evaluation process will begin. Please allow at least **10-12 weeks** (or longer) for the following steps. Note: A "summa cum laude" procedure may take additional time due to an extra evaluation step.

- Submission & Sending Thesis to Committee:
  - 3 5 days
- Evaluation by First and Second Reviewer:
  - 4 8 weeks
- For "Summa Cum Laude" Grade External Evaluation: At least 5 weeks (often longer)
- Processing Evaluations & Grading (Thesis Committee + Chairperson):
  - 5 10 days
- Mandatory Electronic Display of Thesis in GSLS:
  - 2 weeks + 1 additional day
- Announcement of Defense:

At least 7 days prior to the defense date

**Important:** Please send the date, time, and exact room address at least 10 days in advance.

#### **Defense Organization:**

You are responsible for coordinating the defense date with your thesis committee



#### **Final Arrangements for Doctoral Defense**



#### **Thesis Display Phase**

- Final arrangements for your defense should only be made once your thesis enters the electronic display phase.
- Defense details confirmed only after thesis is accepted at the end of the display phase.
- We will inform you once the thesis is on electronic display and assist with defense preparation.

#### **Important Guidelines**

- Refer to the <u>current regulations for GSLS doctoral defenses</u> for GSLS doctoral defenses.
- Follow these guidelines when planning your defense in the GSLS building.

#### **In-Person Attendance**

- **Doctoral candidate, thesis committee, and chairperson** must attend the defense in person.
- Exceptions: If a committee member is located outside of Würzburg.

#### **Hybrid Defense**

• Supported only if **external committee members** participate via **Zoom** and it's otherwise impossible to schedule a date.



#### **Final Arrangements for Doctoral Defense**



#### **Minute Taker**

**Assign a minute taker** (must hold a PhD).

#### **Invitation Details**

Invitations sent **7 days** before the defense date.

**Personal invitation** for chairperson and thesis committee members.

#### **Defense Structure**

**Presentation**: 25-30 minutes

**Q&A** session follows presentation.

## Organisation of the Public Defense

You are responsible for organizing the defense date with your thesis committee.



#### The Diploma Supplement – A Summary of Your PhD Achievements





#### What is it?

The Diploma Supplement is an official document that summarizes all your PhD accomplishments, signed by the
 GSLS Dean /

#### How is it created?

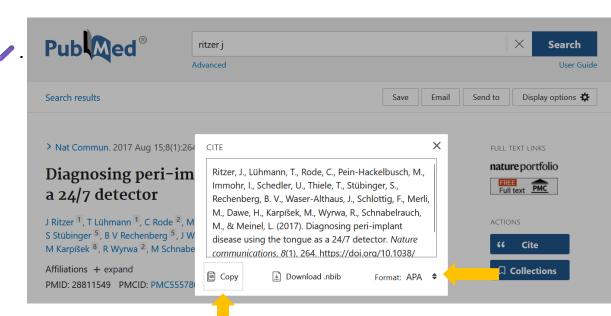
• It's automatically generated based on the information you enter in **OpenCampus**, specifically from your **study book** 



#### Important:

- Ensure your study book entries are accurate and consistent

Email-Contact for questions: gsls-info@uni-wuerzburg.de





#### **After the Defense – Important Information**





#### **Submission of University Library Copies**

- After a successful defense, you must submit the required copies of your dissertation to the university library for archiving.
- This is a mandatory step for the completion of your doctoral process.

#### T

#### **Deadline: Maximum 1 Year After the Defense**

- You must submit your dissertation within one year after your defense.
- If you fail to do so within this timeframe, your right to hold the doctoral title will be revoked.

#### Use of the Doctoral Title

- You are only allowed to officially use your doctoral title after you have received the final doctoral certificate.
- The defense alone does not entitle you to use the title yet.

#### Administrative Processing Takes Time

- The final administrative steps, including issuing your certificate, require some time.
- Please be patient while your documents are processed.



# The whole process from GSLS registration to thesis submission



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## **Medical doctoral researchers procedure Luise Lesch**

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# Do you have any questions?



#### Changes in our regulations effective this year



	<b>Doctoral Graduation Regulations</b>	<b>Doctoral Graduation Regulations</b> 04.03.2013
	22.05.2024	
§12 Submission:	Five printed copies and one electronic version	Eight printed copies and one electronic version
§14 Evaluation:	At least one reviewer must not be a co- author your publications.	
§15 Colloquium:	Online participation allowed for committee members unable to attend for valid reasons	If an external committee member cannot attend the colloquium, the Graduate School Director may assign their tasks to a suitable substitute proposed by the absent evaluator.
§17 Publication:	Three copies to the university library	Five copies to the university library.