







#### The Graduate School of Life Sciences

Structured Doctoral Training at the University of Würzburg

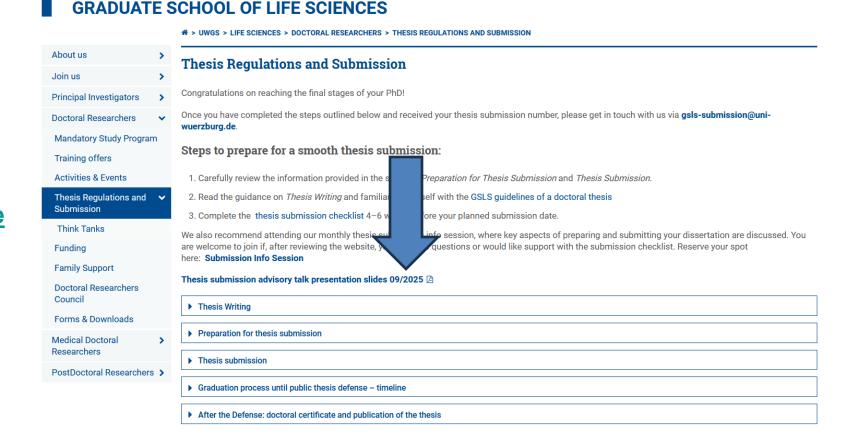
#### Thesis submission advisory talk

https://www.graduateschools.uniwuerzburg.de/life-sciences/doctoralresearchers/thesis-regulations-andsubmission/

#### **Contact:**

gsls-submission@uni-wuerzburg.de

gsls-med@uni-wuerzburg.de





## The whole process from GSLS registration to thesis submission



#### Thesis submission and doctoral procedure Eva Dallmann

Phone +49 931 31-87994 eva.dallmann@uni-wuerzburg.de

# Heike Schrenk Phone +49 931 31-84079 heike.schrenk@uni-wuerzburg.de

#### Medical doctoral researchers procedure Luise Lesch

Phone: +49 931 31-89325 luise.lesch@uni-wuerzburg.de

#### gsls-submission@uni-wuerzburg.de





gsls-med@uni-wuerzburg.de







> https://gsls.cloud.opencampus.net/doctoral-thesis-submission-checklist

Welcome! Please take a moment to read all the **Thesis submission information on our website** before you start filling out this form.

- Medical Doctoral Canditates: Thesis Regulations and Submission
- Natural Science Doctoral Canditates: Thesis Regulations and Submission



#### To be checked/discussed Dr. rer. nat./PhD





1st Author Paper: Must be accepted in a peer-reviewed journal at thesis submission.

If not: Contact GSLS early for a "Bürgschaft" (Guarantee).

If not feasible: At least 1 accepted co-authorship required – inform GSLS.



Thesis Format: Indicate if writing a Monography or Compilation – check guidelines.



#### OpenCampus:

Upload annual reports, certificates, study book info.



Verify your personal email address



Correct Section: Thesis section must match that of your 1st supervisor.



Enrollment: Minimum 6 semesters required.



Admission Validity: Must be valid until thesis submission (not defense).

→ Apply for **extension via OpenCampus**, if needed.



#### To be checked/discussed MD/Dr. med./ Dr. med. dent.





Co-Author Paper: Must be accepted in a peer-reviewed journal at thesis submission.

- If not: Contact GSLS early for a "Bürgschaft" (Guarantee).
- If 1st authorship not feasible: At least 1 accepted co-authorship required inform GSLS.



Thesis Format: Indicate Monography or Compilation – check guidelines.



#### **OpenCampus**:

Upload annual reports, certificates, study book details.



Verify your personal email address



Lab Time: Please send supervisor confirmation on time spent in lab/Freisemester



Correct Section: Thesis section must match your 1st supervisor's GSLS section.



Medical Students: Must be enrolled in "Promotionsstudiengang" after M3 – send Immatrikulationsbescheinigung.

#### **Doctoral Thesis Submission Checklist**





Welcome! Please take a moment to read all the Thesis submission information on our website before you start filling out this form.

Medical Doctoral Canditates: Thesis Regulations and Submission

Natural Science Doctoral Canditates: Thesis Regulations and Submission

There are submission information events held once a month. During each session, we will present key points to consider when preparing and submitting your dissertation.

Please book your spot here: Submission Info Session

The submission checklist helps you prepare all necessary information and documents before starting the submission process.

- You can save your progress as a draft and continue editing later.
- Please fill out the checklist carefully and accurately.
- Submit your checklist only once it is fully and correctly completed. Submission number
- Please take your time to fill everything carefully and accurately this ensures a seamless submission.

#### Name \* Jennifer Ritzer Desired Title 1 Planned date of Submission This is not your official submission date, but the approximate date on which you plan to submit your thesis. Your actual submission have been clarified. Please note that your preferred date may be adjusted depending on the number of candidates already registered before you and the available GSLS capacities. Please note that chairperson will be decided based on the following Preferred/expected place of defence \* Please discuss with your committee and select. - Select - v Section 5 Select -Section acknowledgement \* ☐ I confirm that the above mentioned section matches with my primary supervisor's section Please check your primary supervisor's section in Open Campus (e.g. "My Overview Data"). I confirm that I am aware that my doctoral studies are being conducted based on the Doctoral Graduation Regulations and the Doctoral Study Program 'Life Sciences' Please find the regulations of the GSLS here. Save Draft Next Page >

- Please check your admission in Open Campus.
- Without a valid admission submission of your thesis is not possible.
- Admission vs enrollment (Dr. rer. nat./PhD vs Dr. med./Dr. med. dent.)

Doktor der Naturwissenschaften (Dr. rer. nat.)
Biomedicine

Lehrstuhl für Biotechnologie und Biophysik

Start Date of Thesis Project: 01.03.2022

Admission Date: 02.06.2022

Admission for Research Project is valid until: 28.02.2025

Ennrollment is valid until end of WS 2023/24



#### Selection of the chairperson



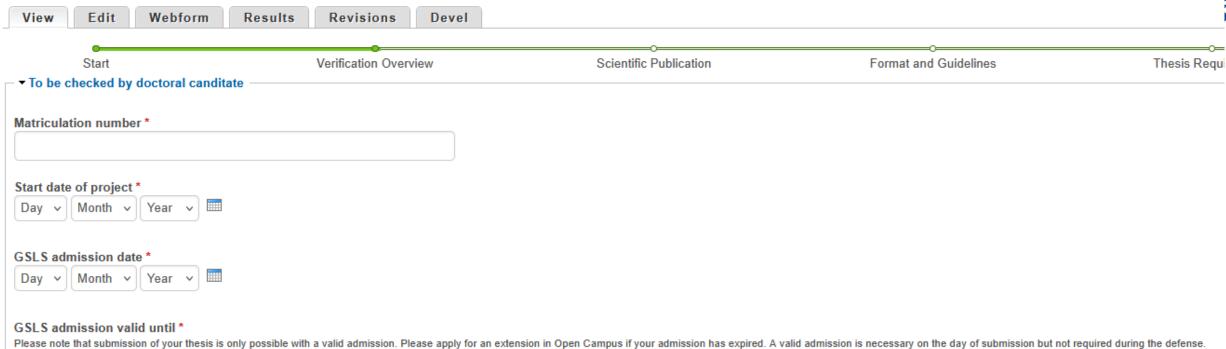
#### **Background**

- The **chairperson is a member of the GSLS Common Graduation Commission** or appointed by the Common Graduation Commission
- The chairperson accompanies your doctoral procedure as an <u>independent person</u> and makes sure that the procedure follows the <u>regulations of the GSLS</u>
- The chairperson is thus NOT a reviewer or an examiner during your thesis defence
- The chairperson receives and signs the official documents for your defense, including your preliminary doctoral certificate
  - → Please make sure to always include the chairperson when planning your defense date

#### **Selection of a chairperson**

- We will select the chairperson (place, section and your topic)
- Procedure:
  - → The GSLS will officially send a request to the chairperson(s) and will notify you by email

#### Doctoral Thesis Submission Checklist



Month v Year v





I have verified my personal email address on OpenCampus to ensure that all emails will reach me during the submission process, as they are sent automatically from the system. \*

The Start-Up report and at least the three annual reports have been uploaded to Open Campus. If any report is missing, please provide an explanation in the text field below. \*

I have attended the obligatory Good Scientific Practice Workshop and confirmed that my participation certificate has been uploaded to Open Campus. \*

I regularly attended the Lab Meetings (1 hour per week, organized by the working group) and uploaded the first supervisor-signed participation certificate to Open Campus. \*

I have regularly attended the weekly Literature seminar (1 hour per week, organized by the working group) and uploaded my participation certificate, signed by my first supervisor, to Open Campus.\*

I regularly attended a Jour fixe (usually once or twice per month, organized by the Research Training Group or Institute) and uploaded a proof of participation, signed by my first supervisor, to Open Campus. \*

I attended at least three international meetings/symposia with active contributions and uploaded the certificates to Open Campus. \*

I attended at least six elective activities (scientific courses and transferable skills courses) and uploaded the certificates to Open Campus, or confirmed that they were already uploaded by GSLS.\*

All coursework and certificates have been uploaded to Open Campus. Submission appointments require GSLS approval of study book entries. For questions, please contact gsls-info@uni-wuerzburg.de \*

I will review my Diploma Supplement in Open Campus based on the entries above before my defense. Please note that the Diploma Supplement will be prepared only after you confirm the entries. Check here: GSLS Curriculum \*

#### Missing Reports

Please provide an explanation if you have not uploaded all the necessary reports on Open Campus.

#### Please include information for GSLS if needed

#### **Incomplete Structured Program Activities**

If you have not uploaded all the required structured program activities in OpenCampus, please provide a brief explanation.

Please include information for GSLS if needed

Module	Regulations for Dr. med./MD/Dr. med. dent.	Regulations for Dr. rer. nat./PhD
Progress Meetings	Start-up and 1 or 2 bi-annual meeting(s)	Start-up meeting & at least 3 annual progress meetings
Lab Meeting	1 hour per week, organized by the working group	1 hour per week, organized by the working group
Literature Seminar	1 hour per week, organized by the working group	1 hour per week, organized by the working group
Program or Section Seminar (Jour Fixe)	-	1 - 2 times per month
Retreat or Summer School	At least one	Annually (in total ≥ 3)
International Meetings/Symposia	At least one with active scientific contribution	In total ≥ 3 with active scientific contribution
Scientific Publication	Co-Author publication	First-Author publication
Elective Activity	One Elective Activity in total (Scientific course or Transferable skill course or Research Stay)	One Elective Activity per semester (Scientific course (in total ≥ 3) and Transferable skill course (in total ≥ 3))
Good scientific practice course	mandatory	mandatory
Intercultural communication	<del>-</del>	mandatory for PhD
Interdisciplinary seminar	in total ≥ 9 participations including introductory and final talk	<u>-</u>



## OpenCampus electronic study book



https://gsls.cloud.opencampus.net/

		Documents	Actions
Reports and Extension Requests			
Basic information			
Reports basic information			
Meeting Reports			
Meeting Report	P		
Extension Requests			<b>2</b>
Study Break Request			<b>2</b>
Study Book			
▼ E Mandatory Elements			
▶ 🔁 Lab Meeting / Group Seminar			<b>2</b>
▶ 🛅 Literature Seminar / Journal Club			<b>2</b>
Research Training Group Seminar (Jour Fixe)			<b>2</b>
▶ 📴 International Meetings / Symposia			<b>a</b>
▶ 🔯 Scientific Publications			<b>a</b>
▶ 🛅 Retreat / Summer School			<b>a</b>
Good Scientific Practice			<b>a</b>
▼ 🖹 Electives			
Scientific course / Seminar / Lectures			<b>a</b>
Transferable Skills Course / Seminar / Workshop			<b>a</b>
Research Stay			<b>a</b>
▼ 🛅 Additional			
Additional Activities			<b>2</b>

Study book and certificates of participation - uploaded in Open Campus and approved by GSLS (GSLS study program requirements).

Please upload at least 2 weeks before submission and inform us by email.

The diploma supplement is <u>created based on your entries</u>. Please ensure they are entered carefully and in a consistent format.



#### The Diploma Supplement – A Summary of Your PhD Achievements





#### What is it?

The Diploma Supplement is an official document that summarizes all your PhD accomplishments, signed by the
 GSLS Dean

#### How is it created?

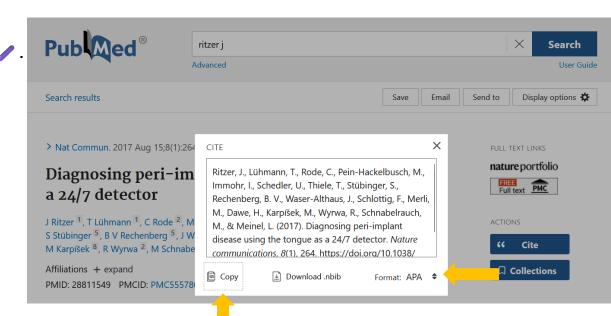
• It's automatically generated based on the information you enter in **OpenCampus**, specifically from your **study book** 

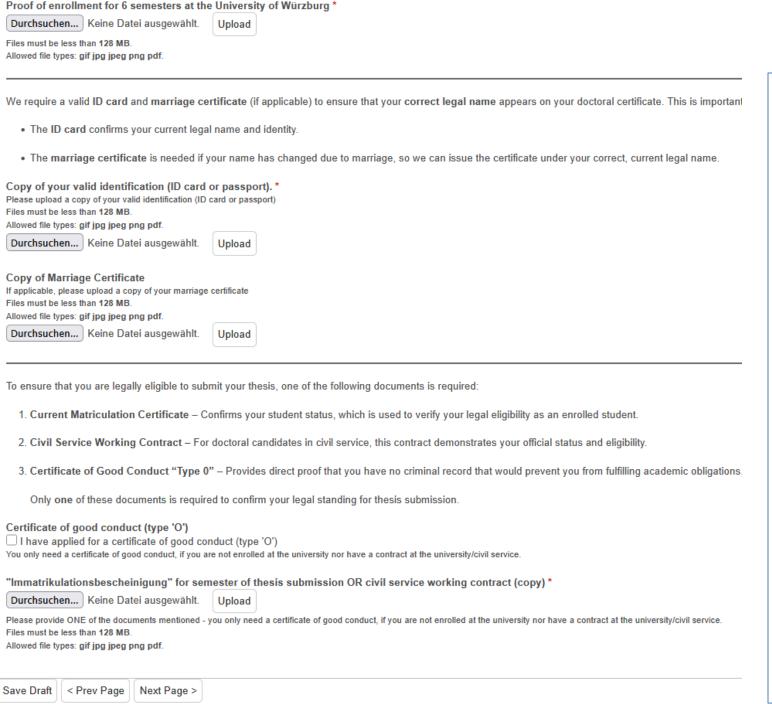


#### Important:

- Ensure your study book entries are accurate and consistent

Email-Contact for questions: gsls-info@uni-wuerzburg.de







#### For all:

- Proof of enrollment
- ID card or passport
- Marriage certificate
- Legally eligiblity

#### For PhD:

 Intercultural Communication Workshop certificate

#### For MD:

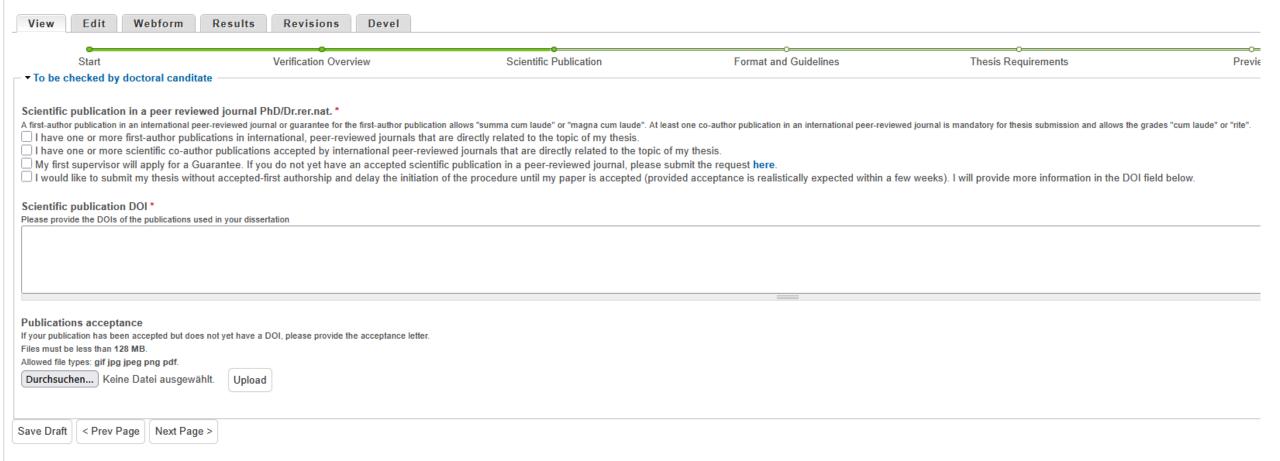
- Current certificate of enrollment
- Confirmation about the time spent in the laboratory







#### **Doctoral Thesis Submission Checklist**





#### Submitting a thesis without first authorship: PhD/ Dr. rer. nat



#### **Submitting Thesis Without First Authorship – Your Options**

- Option 1: Supervisor Guarantee
  - Supervisor confirms 1st-author paper is in progress
  - Full grading possible (incl. Summa, Magna)
  - Usually only one guarantee per PI or a relevant explanation
- Option 2: Immediate Submission without 1st Authorship
  - Start doctoral procedure right away
  - X Not eligible for Summa or Magna cum laude
  - At least one accepted co-authorship required
- **Important**: Discuss both options **early** with your **primary supervisor**!



#### Submitting a thesis without first authorship: MD



#### Submitting Thesis Without Co-Authorship – Your Option



#### **Option 1: Supervisor Guarantee**

- Supervisor guarantees a co-author publication
- Allows full grading (incl. Summa, Magna)
- Light of the second of the seco
- Option 2: Immediate Submission Without Co-Authorship is NOT possible
  - Submission via the Medical Faculty is not an option

Clear communication with your supervisor is key!



#### **Guarantee - Documents for Commission Meeting**



#### **Important:**

Your **first supervisor** decides whether to provide a **guarantee**.



- Submit all files (PDFs or text files) via Open Campus [Guarantee request | Graduate School of Life Sciences]
- (b) At least 14 days before the Graduation Commission meeting!

#### Required Documents:

- 1. Manuscript including supplementary information
- Or a detailed outline showing your contributions (if not finished)
- Please include:
  - Form Statement of individual author contributions and of legal second publication rights
  - ❖Form Statement of individual author contributions to figures/tables

#### 2. Latest Thesis Version

- Should be advanced and close to submission
- 3. Supervisor Letter confirming:
  - Current **status** of your dissertation
  - That your (co-)authorship remains valid through revisions
  - No delay in the doctoral process due to the guarantee
  - Whether the guarantee is for a **first** or **co-author** publication



https://www.graduate schools.uniwuerzburg.de/lifesciences/aboutus/contact/commongraduationcommission/

#### Doctoral Thesis Submission Checklist Webform Results Revisions Verification Overview Scientific Publication Format and Guidelines Thesis Requirements Preview Complete ▼ To be checked by doctoral canditate Please make sure that you meet all the requirements of a thesis. Please refer to the Guidelines for preparation of GSLS Doctoral Thesis for more information Thesis format The thesis may be submitted either as a monograph or a manuscript-/chapter-based compilation - both are fully equivalent in quality, provided the work is cohesive. Before writing, doctoral researchers should select the appropriate format in consultation with their thesis committee. See GSLS guidelines for detailed format, format, format, and submission requirements O Compilation/Chapter-based Thesis Checklist Guidelines \* If you have any questions regarding the plagiarism check, citation and publisher approval, copyright ownership, or the use of figures, tables, and texts, please kindly ask your committee members for their advice. yes no Guidelines Compliance: I have carefully read and understood the Guidelines for the Preparation and Submission of a Doctoral Thesis at the GSLS. Plagiarism Check (Optional): I am aware that a voluntary plagiarism check can be performed using the software iThenticate. I understand that the results should be discussed with my first supervisor and that it is not mandatory to include the results in my dissertation or to inform the GSLS.\* Submission of Thesis Parts: I am submitting parts of my thesis that have not been approved by my first supervisor. Citation and Publisher Approval: I have read and understood the section "4) Proper Citation and Publisher Approval for Illustrations and Texts" in the Guidelines for the Preparation and Submission of a Doctoral Thesis. Copyright Ownership: I confirm that I own the copyright for all illustrations and texts included in my thesis, regardless of the thesis format or whether publications are already published, accepted, or still in preparation. This means that all text, figures, tables, and illustrations in my thesis are either my own work or I have obtained permission from the copyright holder or co-authors to include them. \* Use of Figures, Tables, and Texts: All figures, tables, and text taken from my own or other publications are appropriately cited in my thesis and approved for inclusion in my thesis. If I have included text, figures, or tables from other people's experiments, they are clearly labeled and cited.\* Thesis title (German) ' Thesis title (English) \* Guidelines Compliance: I have carefully read and understood the Guidelines for the Preparation and ▼ Members of the committee **Submission of a Doctoral Thesis** at the GSLS. Primary supervisor \* Plagiarism Check (Optional): I am aware that a voluntary plagiarism check can be performed using the software iThenticate. I understand that the results should be discussed with my first supervisor and that it is Secondary supervisor \* not mandatory to include the results in my dissertation or to inform the GSLS. Submission of Thesis Parts: I am submitting parts of my thesis that have not been approved by my first Third supervisor supervisor. Citation and Publisher Approval: I have read and understood the section "4) Proper Citation and Publisher Fourth supervisor **Approval for Illustrations and Texts**" in the Guidelines for the Preparation and Submission of a Doctoral Fifth supervisor Thesis.

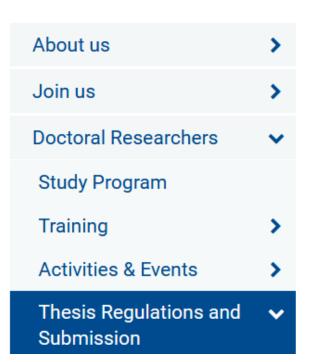


#### Monograph/Chapter based thesis



#### **GRADUATE SCHOOL OF LIFE SCIENCES**

♠ > UWGS > LIFE SCIENCES > DOCTORAL RESEARCHERS > THESIS REGULATIONS AND SUBMISSION





Guidelines for preparation and submission of a doctoral thesis (Ph.D./Dr. rer. nat.) at the GSLS (V3.0)

The aim of the doctoral thesis is to compile the candidate's work as a doctoral researcher, and to contextualise and discuss the work in relation to the broader research field. As decided by the GSLS Common Graduation Commission ("Gemeinsame Promotionskommission") on 10<sup>th</sup> February 2022, the thesis may be prepared in the form of a monograph ("Monographie") or a manuscript/chapter-based compilation thesis ("Manuskript-/Kapitel-basierte Thesis"). Both thesis types are considered equivalent; there is no *a priori* difference in quality constituted by the choice whether a thesis is written as a monograph or as a compilation thesis. In either case, it is essential that the thesis forms a cohesive body of work.



Important: Read and Follow the Guidelines for Preparation and Submission of a Doctoral Thesis (Ph.D./Dr. rer. nat.) at the GSLS



**\**4

Introduction

Provide a **comprehensive description** of:

The aims of your research

The key research questions

How these are addressed in the **included articles** and manuscripts

Include a review of the relevant background literature

**End with a clear and concise description** of the overall aims of the thesis

#### **Summarising Discussion**

Go beyond repeating the individual article results!

Provide a **holistic reflection** on:

The overall aims and how the work fits together

**Failed approaches** or **modifications** during the work

**Links** between the projects and manuscripts

Demonstrate **critical thinking** and place your results in a broader scientific context

Discuss:

Limitations

Theoretical and practical implications

How the research advances the field

Ideas for **future work** 



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Important: Read and Follow the Guidelines for Preparation and Submission of a Doctoral Thesis (Ph.D./Dr. rer. nat.) at the GSLS



**Summarising Discussion** 

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in a **broader scientific context** 

**Discuss:** 

Limitations

Theoretical and practical implications

How the research advances the field

Ideas for **future work** 

**\**4

reprint,

#### **Key Points: Chapter-based Thesis (Manuscript-Based)**



At least two articles or manuscripts, framed by an introduction and a final discussion.

Articles must be published, accepted, or ready for peer-reviewed journals

**Technical papers** or **systematic reviews** (not narrative reviews) may also be included.

The candidate must be (co-)first author on at least two articles and clearly state individual contributions.

Introduction and discussion chapters are key.

A publication related to your thesis is required, and your contribution to the publication must be significant.



#### **Plagiarism Check Process**



#### Access to the plagiarism detection software iThenticate:

**Note:** Access to iThenticate can only be set up for the supervisor(s).



#### •For employees of JMU:

•Request via the WebShop of the University of Würzburg (LINK).

#### •For employees of UKW:

- Free activation through SMI.
- •Fill out the form "Order for Licensed Software" (LINK, available only in the UKW intranet) with the signatures of the applying supervisor and the director of the clinic/institute.
- Submit the application via email to Thomas Schüll: schuell\_T@ukw.de.
- Access is granted independent of location and device.

#### **Login Details:**

After receiving the login credentials, access is available via:

https://app.ithenticate.com/de/login



#### Overview thesis submission checklist



Regardless of the thesis format, I ensure that all illustrations and texts are not sourced from publications (including my own), unless explicitly stated in the respective figure legend or within the text. The same principle applies to modified figures and texts as well. If approval from the publisher is required, I have obtained it.





#### Grundsätzlich gilt:

Wenn Abbildungen oder Graphen unmittelbar aus einer Publikation übernommen werden (auch wenn sie grafisch leicht angepasst oder modifiziert sind), ist in der Regel eine Lizenz/Einholung der Nachdruckerlaubnis vom Verlag erforderlich. Die Kennzeichnung mit "modified from …" ersetzt diese Genehmigung nicht.

Wenn Sie die Graphen hingegen selbst neu erstellt haben (z. B. aus den Originaldaten, aus eigener Analyse oder eigenem Layout) und die Darstellung lediglich einer bereits publizierten sehr ähnlich ist, handelt es sich nicht um eine Übernahme, sondern um eine eigene Abbildung. In diesem Fall ist keine Lizenz notwendig, es reicht der Hinweis auf die zugrunde liegende Publikation.



#### **Attribution of Co-Authors' Work**



#### Why?

**Transparency:** supervisors and reviewers see who contributed what **Credit:** fair recognition of collaborators' work

Good Scientific Practice: avoid misleading impression of sole authorship Conflict Prevention: reduce disputes over ownership and contribution

#### Examples

#### **Figures**

"Figure adapted from data provided by A. Smith."

"Figure reproduced from Smith et al., 2023, with permission."

#### **Tables**

"Data in columns 2-4 provided by Dr. X."

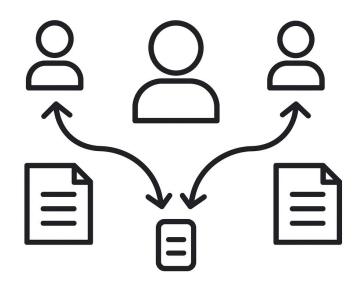
"Table adapted from Müller et al., 2022."

#### **Text**

"This section is based on results obtained in collaboration with Dr. Rossi."

"Paragraph adapted from published article: Wang et al., 2021."

#### ATTRIBUTION OF CO-AUTHORS' WORK





#### Important: Proper Attribution of Contributions in Your Thesis



#### **Clear Documentation of Your Contributions:**

**Mandatory:** Include detailed **statements** outlining your contributions to text, figures, and tables for all manuscripts included in your dissertation.

If the work is unpublished, include the manuscript status (e.g., in revision, accepted, or unpublished).

#### **Citing Published or Manuscript Content:**

Critical: When using text, figures, or figure panels from your (co-)authored manuscripts, always cite the corresponding publication or manuscript (e.g., in figure/table legends).

**Don't Miss This Step!** 

#### **Shared First Authorships:**

**Non-negotiable:** For papers with shared first authorship, provide a statement signed by all co first authors detailing each author's contributions to figures and tables.



Form Statement of individual author contributions and of legal second publication rights



Form Statement of individual author contributions to figures/tables

П					
Manuscript·1·(complete·refer	ence):·¶				
¤					
Participated·in¤	<b>Author</b> ·Initia	<b>ls,</b> ·Responsibil	ity·decreasing·	from·left·to·righ	t·¤
Study·Design¶ Methods·Development¤	а П	ii ii	a II	il ii	II ¤
Data·Collection·¤	¤	¤	¤	¤	¤
Data·Analysis·and· Interpretation¤	¤	¤	¤	¤	¤
Manuscript·Writing¶ Writing·of·Introduction¶ Writing·of·Materials·&· Methods¶ Writing·of·Discussion¶ Writing·of·First·Draft¤	¶ ¶ ¶ ¶	¶ ¶ ¶ ¶	¶ ¶ ¶ ¶	¶ ¶ ¶ ¶	¶ ¶ ¶ ¶

Explanations (if applicable):

 $\P$ 

Manuscript-2 (complete refer	rence):·¶					
¤						
Participated·in¤	Author·l	nitials,·Respo	onsibility decre	asing·from·left·t	to·right·¤	
Study·Design¶ Methods·Development¤	¶	¶	¶	¶	¶	
Data·Collection·¤	¤	¤	¤	¤	¤	
Data·Analysis·and· Interpretation¤	¤	¤	¤	¤	¤	
Manuscript·Writing¶ Writing·of·Introduction¶ Writing·of·Materials·&· Methods¶ Writing·of·Discussion¶ Writing·of·First·Draft¤	¶ ¶ ¶ ¶	¶ ¶ ¶ ¶	¶ ¶ ¶ ¶	¶ ¶ ¶ ¶ ¶	¶ ¶ ¶ ¶	

Explanations (if applicable):

### The original signature of the doctoral candidate is sufficient.

Manuscript-1	uscript·1·(complete-reference): ·¤						
Figure-#-in- thesis¤	Figure-#-in- publication¤	Autho	Author-Initials,-Responsibility-decreasing-from-left-to-right¤				
ю	x	n	¤	¤	¤	¤	¤
n	x	n	¤	¤	n	¤	¤
n	n	α	α	α	α	α	n
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Table-#-in- thesis¤	Table-#-in- publication¤	Author-Initials,-Responsibility-decreasing-from-left-to-right¤					x
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n	n	n	α	α	α	α	¤

Explanations (if applicable):

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Ma	nuscript·2	script-2-(complete-reference):-x						
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	n	¤	n	n	n	n	n	x
	n	α	n	n	n	α	α	n

Explanations (if applicable): ¶

¶

I-also-confirm-my-primary-supervisor's-acceptance.¶



# Statement of individual author contributions and of legal second publication rights to manuscripts included in the dissertation



Including this forms in your thesis is **mandatory** for the compilation/ chapter-based format and monography style thesis

- Please use one table per manuscript.
- If not yet published, please indicate the status of the manuscript at thesis submission (e.g. in revision/ accepted in [name of journal]/ in preparation/ unpublished
- Your original signature on this form is required for the printed copies submitted at the GSLS. You may include an electronic signature of your supervisor.
- Please include signature of shared first coauthor
- Remember that the final dissertation (PDF) uploaded to OPUS after your defense must NOT contain any electronic signatures.



#### Use of Generative AI in Dissertations



#### **Transparency Required**

If you have used generative AI (e.g., ChatGPT, image generation tools) in preparing your dissertation, please declare this in the **Materials and Methods** section.

#### Background

- Al tools can influence the scientific workflow, individual contributions, and academic integrity.
- All tools including generative AI must be explicitly named for fair and transparent assessment.
- This aligns with good scientific practice and is increasingly standard in many fields.

#### What to Include

Provide a short paragraph describing **extent** and **purpose** of Al use, e.g.:



Language editing







#### **Important**

- Indicate not only if but also how AI contributed to your work.
- Final responsibility for correctness and integrity remains with you as the author (and, if agreed, with your supervisor).



Save Draft | < Prev Page | Next Page >



▼Reviewers Suggested by the Thesis Committee		
A thesis reviewer formally evaluates the dissertation, assigning a grade or recommendation while providing expert feedback on its quality and rigor. The reviewers of a dissertation are always members of the Thesis Committee. Please note that reviewers may only be suggested by you or your supervisors. The firegarding the appointment of reviewers lies with the Dean of the GSLS.	inal decis	ion
Thesis Reviewer Guidelines *		
	yes	no
I confirm that at least one reviewer is NOT a co-author of my publications included in my dissertation. This requirement only refers to my own publications, not the works cited in my dissertation. *	0	0
Both proposed reviewers are affiliated with the same institute or are otherwise professionally dependent on each other.*	0	0
I confirm that if the primary supervisor is not habilitated or does not hold a permanent professorship, the second reviewer is habilitated or holds a permanent professorship. *	0	0
I confirm that at least one of the two reviewers is a GSLS member from a Natural Science Faculty of the University of Würzburg or is included on the exception list of the Faculty of Biology.	0	0
First Reviewer of the thesis * The first reviewer is the primary supervisor of the doctoral candidate.  Second Reviewer or Additional Reviewer Suggestion * The second supervisor does not automatically have to serve as the second reviewer, Only reviewers who are habilitated or, if not habilitated, members of the GSLS may serve in this role. External reviewers may be appointed if they are professors or habilitated scientists. If none of your current committee members meet these requirements, please discuss with your current committee members meet these requirements, please discuss with your current committee members meet these requirements, please discuss with your current committee members meet these requirements, please discuss with your current committee members meet these requirements, please discuss with your current committee members meet these requirements, please discuss with your current committee members meet these requirements, please discuss with your current committee members meet these requirements, please discuss with your current committee members meet these requirements, please discuss with your current committee members meet these requirements, please discuss with your current committee members meet these requirements, please discuss with your current committee members meet these requirements, please discuss with your current committee members meet these requirements.	committee	and
propose an additional member as a potential second reviewer. If the proposed reviewer is not yet a member of your Thesis Committee, they must first be formally added.		

I confirm that at least one reviewer is NOT a co-author of my publications included in my dissertation. This requirement only refers to my own publications, not the works cited in my dissertation. \*

Both proposed reviewers are affiliated with the same institute or are otherwise professionally dependent on each other. \*

I confirm that if the primary supervisor is not habilitated or does not hold a permanent professorship, the second reviewer is habilitated or holds a permanent professorship. \*

I confirm that at least one of the two reviewers is a GSLS member from a Natural Science Faculty of the University of Würzburg or is included on the exception list of the Faculty of Biology. \*



#### **Reviewer Selection**



#### Background

- Upon thesis submission, the GSLS sends your thesis to all thesis committee members
- Two reviewers write evaluation reports and recommend a grade
  - These are usually members of your thesis committee

#### Reviewer Selection

- 1 First Reviewer:
  - Your first supervisor
- 2 Second Reviewer:
  - A second person from your thesis committee
- Must be a Prof. or PD (Junior PIs must be GSLS members)
- Must be affiliated with a different institute/clinic than your first supervisor
- Can be an **external** person
- If external & not yet on your committee: they automatically become a member

#### Important Requirements

- At least one reviewer must not be a co-author of your publication
- One reviewer must be from or appointed by a **natural science faculty**
- The second reviewer is not always the second supervisor
- The GSLS office needs the name of the second reviewer to request the chairperson





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#### **Doctoral Thesis Submission Checklist**

* Form Application from for the Contrast of a Decision of the Contrast	Start Verification Overview Scientific Publication	Format and Guidelines	Thesis Requirements	 Preview	Complet
Format & Layout*    DIN AA, bound (soft or hard binding)   Double-sided printing accepted   All pages numbered    Tile Page*   Thesis tille in German and English   Section: Biomedicine / Integrative Biology / Neuroscience / Infection and Immunity / Clinical Sciences   Names of all Thesis Committee members   Chaliperson name (leave blank if unknown)   Pleasue use the provided templets for the tiltle page: English, German   Author Contribution Forms*   Statement of Individual author contributions and legal second publication rights – original signature required    Statement of Individual author contributions to figures/tables – original signature required   Contents (Inhaltsverzeichnis)   Summary in German and English   Main Content*   Required Content as listed in the GSLS Guidelines for preparation and submission of a doctoral thesis	<ul> <li>Form Confirmation by the Thesis Committee to be submitted with original signatures only. Electronic signatures will not be acce.</li> <li>Form Declaration Library</li> <li>5 bound copies of your thesis (1 for each reviewer and the chairperson, 1 for the GSLS archive, 1 spare copy + X for non-reviewer</li> <li>Electronic PDF version of your printed thesis and, if applicable, supplemental material (USB stick)</li> </ul>	•	for committee members who wants a printed copy)		
Thesis title in German and English   Section: Blongy / Neuroscience / Infection and Immunity / Clinical Sciences   Section: Blongwise dispersion name (leave blank if unknown)	Format & Layout *  DIN A4, bound (soft or hard binding)  Double-sided printing accepted				
List of contents (Inhaltsverzeichnis) Summary in German and English  Main Content Required Content as listed in the GSLS Guidelines for preparation and submission of a doctoral thesis	☐ Thesis title in German and English ☐ Section: Biomedicine / Integrative Biology / Neuroscience / Infection and Immunity / Clinical Sciences ☐ Names of all Thesis Committee members ☐ Chairperson name (leave blank if unknown) Please use the provided templates for the title page and ensure that all required information is included.  Please refer to these templates for the title page: English, German  Author Contribution Forms * ☐ Statement of individual author contributions and legal second publication rights — original signature required	the inform	nation and rece	eived a subm	ission
Supplementary Documents *	List of contents (Inhaltsverzeichnis) Summary in German and English  Main Content*				
□ Bibliography/List of References (Literaturverzeichnis) □ Publication List (if applicable – include manuscripts that are intended for publication, especially in case of guarantee) □ Curriculum Vitae (CV) – original signature required □ Affidavit (Eidesstattliche Erklärung) – original signature required, use GSLS template, and don't change the wording, only insert the thesis title in German and English)	□ Bibliography/List of References (Literaturverzeichnis) □ Publication List (if applicable – include manuscripts that are intended for publication, especially in case of guarantee) □ Curriculum Vitae (CV) – original signature required	sis title in German and English)			



#### To be submitted to the GSLS Office at thesis submission





#### Forms to be downloaded via the GSLS thesis submission website:

#### **Application for Conferral of a Doctorate**

#### Your original signature required

Provides evaluation details to thesis committee

Ensure correct contact info for all members (especially external ones)



#### **Declaration Library**

#### Your original signature required

Confirms no content changes after thesis submission (except requested revisions)

Typos can be corrected, but no content alterations allowed



Written confirmation by the thesis committee





#### **Key Points:**

• Original Signatures Required
All supervisors must provide original signatures.

# How to Collect Signatures

- Pre-fill the document and send it to supervisors via email.
- Collect signatures on separate pages.
- Submit all individual pages to the GSLS.
- Do not copy signatures into a single document.

# **Submission Guidelines**

- Use the *Confirmation by Thesis Committee* form to determine the required number of dissertation copies.
- If supervisors 3, 4, or 5 require a physical copy, submit at least six copies.



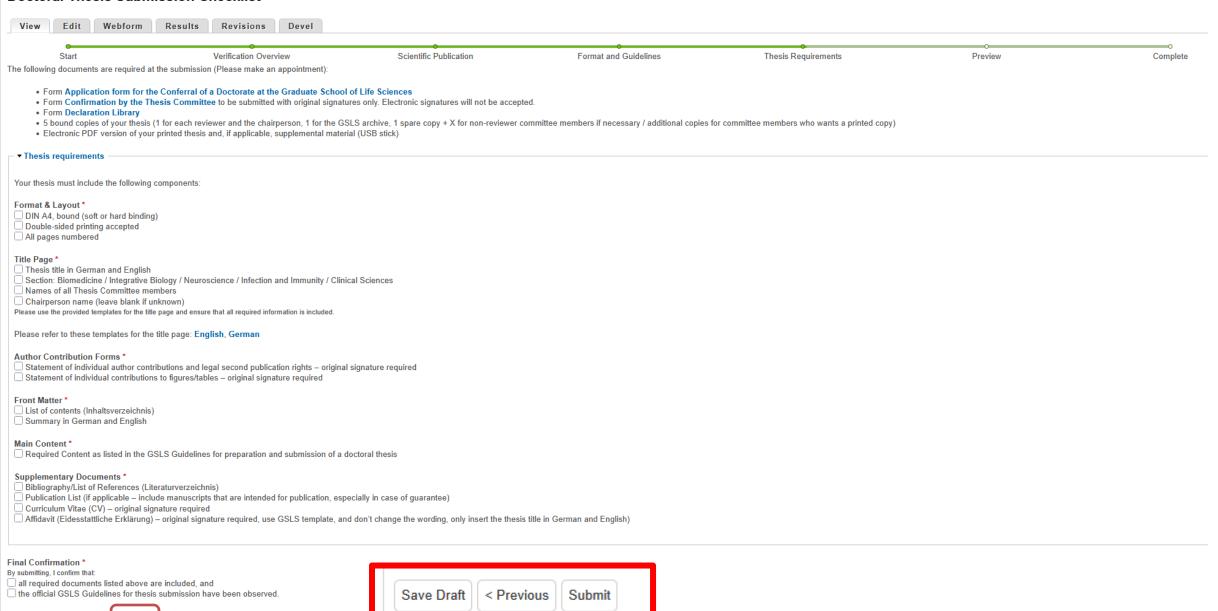
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#### **Doctoral Thesis Submission Checklist**





#### To be submitted to the GSLS Office at thesis submission



#### Submission of your thesis

- PDF version of your thesis
  - There are normally <u>no signatures</u> included in the PDF (Exception for chapter-based thesis: it is possible to include the signature of your first supervisor in the respective author contribution form)
  - The PDF should contain your CV as additional information for your reviewers during the evaluation procedure
- **5 printed copies of your thesis** (exactly the same as the PDF) (1 for each reviewer and the chairperson, 1 for the GSLS archive, 1 spare copy + X for non-reviewer committee members if necessary / additional copies for committee members who wants a printed copy)
  - We normally send printed copies to all thesis committee members and the chairperson, plus we need one copy for our physical file
  - You will receive all unused thesis copies after your defense and may use them for submission to the
     University Library



#### **Thesis Submission & Publication Process**





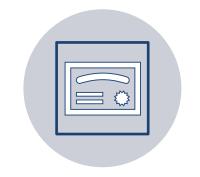
Upon submitting your thesis (both PDF and printed copies), you will receive a **Laufzettel** (Process Sheet). This document confirms your submission with signatures from both yourself and the GSLS administrator.



Once you submit the Laufzettel, along with all required documents from Section 4, to the University Library, they will confirm your thesis publication by signing the Laufzettel. The signed document will then be returned directly to the GSLS Office.



Keep the Laufzettel safe – you'll need it again after your defense for the thesis publication via the University Library (see Section 4).



Final certificates ("Urkunde") will only be issued once we receive the signed Laufzettel from the University Library after your defense.



#### **Timeline**



After submitting your thesis, the evaluation process will begin. Please allow at least **10-12 weeks** (or longer) for the following steps. Note: A "summa cum laude" procedure may take additional time due to an extra evaluation step.

- Submission & Sending Thesis to Committee:
  - 3 5 days
- Evaluation by First and Second Reviewer:
  - 4 8 weeks
- For "Summa Cum Laude" Grade External Evaluation: At least 5 weeks (often longer)
- Processing Evaluations & Grading (Thesis Committee + Chairperson):
  - 5 10 days
- Mandatory Electronic Display of Thesis in GSLS:
  - 2 weeks + 1 additional day
- Announcement of Defense:

At least 7 days prior to the defense date

Important: Please send the date, time, and exact room address at least 10 days in advance.

#### **Defense Organization:**

You are responsible for coordinating the defense date with your thesis committee



#### **Final Arrangements for Doctoral Defense**



#### **Thesis Display Phase**

- Final arrangements for your defense should only be made once your thesis enters the electronic display phase.
- Defense details confirmed only after thesis is accepted at the end of the display phase.
- We will inform you once the thesis is on electronic display and assist with defense preparation.

#### **In-Person Attendance**

- Doctoral candidate, thesis committee, and chairperson must attend the defense in person.
- Exceptions: If a committee member is located outside of Würzburg.

#### **Hybrid Defense**

• Supported only if **external committee members** participate via **Zoom** and it's otherwise impossible to schedule a date.



#### **Final Arrangements for Doctoral Defense**



#### **Minute Taker**

**Assign a minute taker** (must hold a PhD).

#### **Invitation Details**

Invitations sent **7 days** before the defense date.

Personal invitation for chairperson and thesis committee members.

**Defense Structure** 

**Presentation**: 25-30 minutes

**Q&A** session follows presentation.

Organisation of the Public Defense

You are responsible for organizing the defense date with your thesis committee.



#### **After the Defense – Important Information**



#### **Submission of University Library Copies**

- After a successful defense, you must submit the required copies of your dissertation to the university library for archiving.
- This is a mandatory step for the completion of your doctoral process.

#### T

#### **Deadline: Maximum 1 Year After the Defense**

- You must submit your dissertation within one year after your defense.
- If you fail to do so within this timeframe, your right to hold the doctoral title will be revoked.

#### Use of the Doctoral Title

- You are only allowed to officially use your doctoral title after you have received the final doctoral certificate.
- The defense alone does not entitle you to use the title yet.

#### Administrative Processing Takes Time

- The final administrative steps, including issuing your certificate, require some time.
- Please be patient while your documents are processed.



## The whole process from GSLS registration to thesis submission



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## Do you have any questions?



#### Changes in our regulations effective this year



	<b>Doctoral Graduation Regulations</b> 22.05.2024	<b>Doctoral Graduation Regulations</b> 04.03.2013
§12 Submission:	Five printed copies and one electronic version	Eight printed copies and one electronic version
§14 Evaluation:	At least one reviewer must not be a co- author your publications.	
§15 Colloquium:	Online participation allowed for committee members unable to attend for valid reasons	If an external committee member cannot attend the colloquium, the Graduate School Director may assign their tasks to a suitable substitute proposed by the absent evaluator.
§17 Publication:	Three copies to the university library	Five copies to the university library.